



ANDREW M. CUOMO
Governor

JOSEPH RUGGIERO
Executive Director

RICHARD A. GERENTINE
Chairman

BOARD MEETING AGENDA **THURSDAY, MARCH 17, 2016 - 3:00 P.M.**

I. ADOPT THE REGULAR BOARD MEETING MINUTES OF FEBRUARY 18, 2016

II. BUSINESS ITEMS:

1. ADMINISTRATION:

- a. Annual Prompt Payment Report 2015
- b. Annual Report on Disposal of Property 2015
- c. Annual Procurement Report 2015
- d. IBTTA Annual Membership 2016
- e. E-ZPass IAG Service Corporation
- f. Renewal of The Workplace Contract

2. ENGINEERING AND MAINTENANCE:

- a. General Electronic Data Processing Consultant Bid Award – BA-2016-OO-106-PS
- b. Supplemental Agreement with Kapsch – NBB Fiber Upgrade
- c. Oracle Enterprise Database Support Fee
- d. Jobs in Progress Monthly Report

3. FINANCIAL:

- a. Investment Report
- b. Annual Report Required by Section 2800 Public Authorities Law
- c. 2015 Annual Investment Report

4. HUMAN RESOURCES:

None

5. **INFORMATION TECHNOLOGY:**

None

6. **BRIDGE OPERATIONS:**

None

III. **REPORTS TO BOARD:**

- a. Executive Director's Monthly Activity Report
- b. February 2016 Traffic and Revenue Analysis
- c. February 2016 E-ZPass Sales Analysis

IV. **PRESENTATION TO BOARD**

V. **OLD BUSINESS:**

VI. **NEW BUSINESS:**

VII. **ATTORNEY CLIENT PRIVILEGE:**

VIII. **ADJOURNMENT:**

NEXT MEETING
April 21, 2016
Regular Meeting
3:00 P.M.

MINUTES OF THE REGULAR MEETING OF THE
NEW YORK STATE BRIDGE AUTHORITY

HELD AT HEADQUARTERS, HIGHLAND, N.Y. ON
February 18, 2016

Business agenda documents/reports are mailed to the Board Members and General Counsel one week prior to the meeting. Board meetings are web cast and are available on-line.

IN ATTENDANCE:

BOARD MEMBERS:

Gerentine, Richard A., Chairman
Ramaglia, Joseph, Vice Chairman
Dressel, Roderick O., Commissioner
Higgins, Roger P., Commissioner

OFFICERS:

Ruggiero, Joseph, Secretary
Bushek, Brian, Treasurer
Whitbeck, Carl G., Counsel

Chairman Gerentine called the meeting to order at 3:10 pm. Chairman Gerentine called for a motion to adopt the amended minutes of the January 19, 2016 Regular meeting. On motion of Commissioner Higgins, seconded by Vice Chairman Ramaglia, the minutes of the January 19, 2016 Regular meeting were adopted unanimously.

ENGINEERING:1) 2016 Capital Equipment Purchase – Ariel Truck

Mr. Wright reported to the Board that the 2016 Capital Equipment budget includes the purchase of one (1) new 19,500lb GVW cab and chassis equipped with a Dur-A-Lift model DPM 36DU aerial body. This truck will replace an old aerial lift at the Mid-Hudson Bridge, which was installed on a new cab and chassis in 1991. The cab and chassis will be purchased under NYS OGS contract for Class 4/5 Trucks. The aerial lift equipment will be sublet through Mobile Lifts and installed on the cab/chassis as part of the all-inclusive price. The authorized OGS retailer is Robert Green Truck Division of Rock Hill, New York. Mr. Wright recommended issuing a purchase order to them in the amount of \$126,322.30. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Vice Chairman Ramaglia, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-011

Resolution Date: February 18, 2016

WHEREAS, the New York State Bridge Authority has determined it is in the public interest to issue a purchase order to Robert Green Truck Division of Rock Hill, New York for the purchase of one (1) 2016 Dodge Ram 5500 HD Chassis/Cab 4x2 equipped with a Dur-A-Lift model DPM 36DU aerial body; and

WHEREAS, the Authority has determined that Robert Green Truck Division has the NYS OGS contract award #PC66589; and

WHEREAS, the Authority's Engineering department has reviewed and approved the cost proposal; now therefore

BE IT RESOLVED that the Board of Commissioners of the New York State Bridge Authority approve issuing a purchase order to Robert Green Truck Division for the purchase of one (1) 2016 Dodge Ram 5500 HD Chassis/Cab 4x2 equipped with a Dur-A-Lift model DPM 36DU aerial body in the amount of \$126,322.30; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February, 2016.

Joseph Ruggiero, Secretary

2) 2016 Capital Equipment Purchase – Small 4x4 Dump Truck

Mr. Wright indicated to the Board that the 2016 Capital Equipment budget includes the purchase of one (1) new 4WD small dump truck to be utilized at the Newburgh-Beacon Bridge. This type of truck has proven extremely useful and will replace a Truck at NBB, a 2008 Ford with 148,467 miles and 5,980 hours (the number of hours roughly equates to 269,100 miles). NYS General Municipal Law allows for the Authority to piggyback onto a properly advertised and awarded purchasing contract. In this case, Onondaga County has advertised and awarded the procurement of statewide trucks and truck equipment in Bid #7974. Mr. Wright has worked with the manufacturer's representative, Robert Green Truck Division, in specifying a cab/chassis equipped with a 2-3 yard dump body. Utilizing the Onondaga bid structure; the cost for this unit is \$44,281.00. Mr. Wright recommended issuing a purchase order to Robert Green Truck Division, of Rock Hill, New York, in the amount of \$44,281.00. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Vice Chairman Ramaglia, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-012

Resolution Date: February 18, 2016

WHEREAS, the New York State Bridge Authority has determined it is in the public interest to issue a purchase order to Robert Green Truck Division of Rock Hill, New York for the purchase of one (1) 4WD small dump truck; and

WHEREAS, there is no current OGS contract for this equipment and Onondaga County Department of Purchasing has publicly advertised and awarded the procurement of the 2016 Dodge Ram 3500 DD8L63 4WD Regular Cab and upfitter equipment as Onondaga County Bid #7974; and

WHEREAS, New York State General Municipal Law allows for the Authority's shared utilization of another agency's publicly advertised and awarded contract; now therefore

BE IT RESOLVED that the Board of Commissioners of the New York State Bridge Authority approve issuing a purchase order to Robert Green Truck Division for the purchase of one (1) 2016 Dodge Ram 3500 DD8L63 4WD Regular Cab and upfitter equipment in the amount of \$44,281.00; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February, 2016.

Joseph Ruggiero, Secretary

3) 2016 Treated Salt Procurement

Mr. Wright indicated that a NYS OGS contract is in place for the Authority to purchase treated salt from Morton Salt until September 30, 2016. Although the Authority will need to create individual purchase orders for each location, Mr. Wright asked the Board for approval of the cost as a total because the salt will be provided by a single vendor. The purchase orders will be issued for the maximum tonnage of salt based upon 2016 operating budget amounts. The quantities will be purchased on an as needed basis and there is no minimum purchase requirement. The quantities and pricing are as follows: RVWB: 200 tons @ \$81.50 = \$16,300.00, KRB: 300 tons @ \$87.73=\$26,319.00, MHB: 450 tons @ \$87.73=\$39,478.50, NBB:450 tons @ \$87.77=\$39,496.50, BMB:70 tons @ \$104.09= \$7,286.30 totaling \$128,880.30. The above quantities will be within this year's budget amount for salt. Previous purchase orders, which have a residual value of approximately \$34,500.00, will be cancelled. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Commissioner Dressel the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:016-013

Resolution Date: February 18, 2016

WHEREAS, the New York State Bridge Authority has determined it is in the public interest to procure Type II treated winter deicing salt for the 2016 winter season; and

WHEREAS, the Authority has previously purchased this product through the NYS OGS contract, which is in place through September 30, 2016; and

WHEREAS, Morton Salt, Inc., of Chicago, Illinois provided the low quote; now therefore

BE IT RESOLVED that the Board of Commissioners of the New York State Bridge Authority approve issuing five purchase orders, one for each facility, with a total amount not to exceed \$128,880.30; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February, 2016.

Joseph Ruggiero, Secretary

4) 2016 In-House Capital Program

Mr. Wright informed the Board that based upon the most recent inspections, the Authority's Engineering and Maintenance department, along with our General Consultant, Modjeski & Masters, have developed both an update to our five year capital plan and a series of in-house capital improvements. These in-house projects are necessary and can be accomplished with our own forces. The Authority is able to save a significant amount by performing these tasks in-house versus by contract; the projected savings this year is \$1,069,370.00. Mr. Wright recommended authorizing \$1,250,030.00 for the 2016 In-House Capital Improvement Program. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Commissioner Dressel the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-014

Resolution Date: February 18, 2016

WHEREAS, the New York State Bridge Authority has determined it is in the public interest to authorize the in-house capital projects as recommended by the Authority's Consulting Engineer; and

WHEREAS, the Authority continues to invest in cost savings measures utilizing our in-house maintenance skills wherever practical; the projected savings generated by performing these tasks in-house versus by contract is \$1,069,370.00; and

WHEREAS, the scope of work for these projects has been developed by the Engineering and Maintenance department and determined to be necessary for the continued safe and efficient operation of the bridges by the General Consultant; now therefore

BE IT RESOLVED that the Board of Commissioners of the New York State Bridge Authority authorizes the 2016 In-House Capital Projects in the amount of \$1,250,030.00; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February, 2016.

Joseph Ruggiero, Secretary

5) Variable Message Sign Purchase

Mr. Wright informed the Board that the 2016 equipment budget has provisions for two (2) new trailer-mounted variable message signs (VMS). The Authority previously purchased four of these same units, Ver-Mac 320s, and has had great success with them. These units are sold and serviced by a local WBE, Traffic Lane Closures. The Authority can again piggy-back onto an existing Westchester County bid and acquire them for \$13,500.00 each. This piggy-back purchasing procedure has been reviewed and approved by Counsel. Mr. Wright also recommended the Authority purchase the Ver-Mac modem system, which allows cellular programming and remote diagnostics. The vendor was able to negotiate with the manufacturer and can offer the modem and four years of cell plan service at the two year service rate of \$1590.00/unit. Mr. Wright recommended an approval at a total cost of \$30,180.00. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Vice Chairman Ramaglia, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-015

Resolution Date: February 18, 2016

WHEREAS, the New York State Bridge Authority has determined it is in the public interest to issue a purchase order to Traffic Lane Closures, of Carmel, New York for the purchase of two (2) Ver-Mac 320 Variable Message Boards and two (2) Ver-Mac modems, which include 4 year Spring cell plans and Jamlogic software; and

WHEREAS, there is no current NYS OGS contract for this equipment and Westchester County Bureau of Purchase and Supplies has publicly advertised and awarded the procurement of Ver-Mac PCMS-320 as Bid #RFB-WC-14087; and

WHEREAS, New York State General Municipal Law allows for the Authority's shared utilization of another agency's publicly advertised and awarded contract; now therefore

BE IT RESOLVED that the Board of Commissioners of the New York State Bridge Authority approve issuing a purchase order to Traffic Lane Closures for the procurement of two (2) Ver-Mac 320 Variable Message Boards and two (2) Ver-Mac modems, which include 4 year Sprint cell plans and Jamlogic software in the amount of \$30,180.00; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February, 2016.

Joseph Ruggiero, Secretary

6) Jobs In Monthly Progress

Mr. Wright advised the Board regarding the Engineering Jobs in Progress Report for the month of January. Mr. Wright anticipates railing rehab to start April 2016. Mr. Wright also mentioned the anchorage and tower dehumidification contractor ordering equipment. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Vice Chairman Ramaglia, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-016

Resolution Date: February 18, 2016

WHEREAS, the Board has reviewed the monthly Engineering Progress Report on Capital Project Status; and

BE IT RESOLVED that the Engineering Progress Report is accepted as an instrument documenting the Board's briefing of Capital Construction activities; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February 2016.

Joseph Ruggiero, Secretary

FINANCIAL:1) Investment Transaction Report

Mr. Bushek reviewed the Investment Report for the month of January noting one investment for the month. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Commissioner Dressel, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-017Resolution Date: February 18, 2016

WHEREAS, the investment control procedures for the New York State Bridge Authority provide that the Board shall review and approve the report of investment transactions completed since the meeting of the Board on January 19, 2016; now therefore and,

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority the New York State Bridge Authority does hereby concur with and approve the investment report as filed with this body on this date for the purpose noted; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February, 2016.

Joseph Ruggiero, Secretary

2) Annual Payment to Department of Civil Service

Mr. Bushek indicated to the Board that Section 11 of the Civil Service Law allows that Department to charge public authorities, among other entities, for their fractional share of the expenses for the administration of the Civil Service Department. The Bridge Authority's expense for these services is \$19,993.19 for the year ending March 31, 2015. This is based on a rate of \$166.32 per position multiplied by the 119 permanently filled positions reported by the Authority on its October 2014 payroll. This is a reduction of 4.9% from the 2015 bill for \$21,025.48 (\$172.34 per position multiplied by the 122 permanently filled positions) due to both reduced cost per position and number of positions. For historical comparison the 2014 billing was \$21,428.75 (\$171.43 per position multiplied by the 125 permanently filled positions). The 2013 billing was \$25,803.87 (\$200.03 per position multiplied by the 129 permanently filled positions). In 2012 it was \$32,120.72. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Vice Chairman Ramaglia, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-018

Resolution Date: February 18, 2016

WHEREAS, the Board has reviewed the report relative to the payment of the Authority's share of the charges for the administration of the Department of Civil Service as mandated by the Civil Service Law; now therefore and,

BE IT RESOLVED, the New York State Bridge Authority does hereby approve the payment of \$19,993.19 to the Department of Civil Service for the Bridge Authority's share of these costs payable in the year ending March 31, 2016; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February, 2016.

Joseph Ruggiero, Secretary

INFORMATION TECHNOLOGY:1) Server Procurement

Mr. Herd informed the Board that the IT Department's Capital Improvement Program has a server replacement program; as part of this program a purchase of three video servers has been planned. The RVW Security and Traffic video servers and NBB's Alarm server are scheduled to be replaced this year. These three servers will all come with a 5 year warranty. The existing systems will be repurposed and will become spare units at the facilities. The Authority has reached out to state contract and MWBE vendors for price quotes. QnA Tech who is on the NYS OGS Contract (PT66754) and a MWBE firm has supplied the Authority with a price quote of \$28,320.00. This project is identified in the IT Department's 2016 Capital Improvement Program, Project ID# SI-0013. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Vice Chairman Ramaglia, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-019

Resolution Date: February 18, 2016

WHEREAS, the Board of Commissioners has reviewed the memorandum describing the need to replace three (3) video servers; and,

WHEREAS, the Authority will participate in the NYS OGS Contract # PT66754 and a MWBE firm, QnA Tech, for the server procurement; now therefore

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority will award the procurement of three (3) servers from QnA tech in an amount not-to-exceed \$28,320.00 and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February, 2016.

Joseph Ruggiero, Secretary

2) Systemwide Network Service – BA-2015-OO-007-IT

Mr. Herd indicated to the Board that the Department of Information Technology announced in the NYS Contract Reporter the opportunity to provide wide-area network services for the Authority. There were 22 requests for the RFP and only one (1) proposal was submitted. Lightower, the current provider to the Authority, provided four (4) options of services to the Authority. After reviewing the options, it was decided that Option #2 gave the Authority the most cost effective solution. The new services will be less than the current fees that the Authority is paying. The anticipated monthly cost will be approximately \$9130.18 and this expense is identified in the IT Departments Operating Budget. Chairman Gerentine called for a motion. On a motion of Vice Chairman Ramaglia, seconded by Commissioner Higgins, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-020

Resolution Date: February 18, 2016

WHEREAS, bids were solicited through the NYS Contract Reporter for the contract entitled System Wide Network Services (BA-2015-OO-007-IT) and one (1) proposal was submitted on January 20th, 2016 by Lightower and,

WHEREAS, after further review of the four (4) price options given by Lightower, it is recommended that option number two (2) best suits the Authority's requirements and

WHEREAS, it is recommended that a Five (5) year contract with one (1) optional Five (5) year renewal by awarded to Lightower; now therefore

BE IT RESOLVED, that a contract be awarded to Lightower, with the advice and consent of Authority Counsel, in a not to exceed monthly amount of \$9130.18 and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February, 2016.

Joseph Ruggiero, Secretary

BRIDGE OPERATIONS:1) New York State Thruway Authority – 4th Quarter 2015 E-ZPass Expenses

Mr. Ferguson and Mr. Savosky have reviewed the invoice from the New York State Thruway Authority for 4th quarter 2015 Bridge Authority E-ZPass expenses for the period October 1, 2015 through December 31, 2015, and find them as such, to be true and accurate recommend that they be paid in the amount of \$964,788.38. Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Vice Chairman Ramaglia, the following resolution was approved unanimously:

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: 016-021Resolution Date: February 18, 2016

WHEREAS, the Board has reviewed the report relative to the payment to the New York State Thruway Authority for 4th Quarter 2015 Bridge Authority E-ZPass costs in the amount of \$964,788.38; and,

WHEREAS, the Bridge Authority wishes to continue the agreement with the Thruway Authority established to pay these costs; now therefore

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority approves this payment at a cost not to exceed \$964,788.38; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 18th day of February 2016.

Joseph Ruggiero, Secretary

REPORTS TO BOARD:2) Monthly Activity Report of the Executive Director

The Monthly Activity Report was submitted by Executive Director Joseph Ruggiero noting no expenditures.

3) January 2016 Traffic and Revenue Analysis

Mr. Ruggiero noted for the month of January traffic increased 9.29% and revenue increased 7.47%. No action was required by the Board.

4) January 2016 E-ZPass Sales Analysis

Mr. Ruggiero indicated that year-to-date 2016 tag sales were 325, compared to 348 sold year-to-date 2015. No action was required by the Board.

This concluded the regular meeting. Chairman Gerentine asked for a motion to adjourn the meeting. On a motion of Commissioner Higgins, seconded by Vice Chairman Ramaglia, and approved unanimously, the regular meeting adjourned at 3:25 P.M.

The next regular Meeting of the Board of Commissioners meeting is scheduled for March 17, 2016 at 3:00 P.M. at Headquarters.



ANDREW M. CUOMO
Governor

JOSEPH RUGGIERO
Executive Director

RICHARD A. GERENTINE
Chairman

PROMPT PAYMENT ANNUAL REPORT

For Twelve Months Ending December 31, 2015

CATEGORIES OF CONTRACTS ENTERED	NUMBER OF INTEREST PAYMENTS MADE	NUMBER OF CHARGEABLE DAYS	PRINCIPAL REASONS FOR LATE PAYMENT
Procurement	2	30	Late payments to two vendors during transition and training of new staff member.
Engineering	0	0	
Personal Service			
Other Personal Service	0	0	
Construction Contracts	0	0	

NEW YORK STATE BRIDGE AUTHORITY

PROMPT PAYMENT GUIDELINES

I. PROMPT PAYMENT GUIDELINES

Attached please find the prompt payment guidelines as written and implemented in 1989, in accordance with Section 2880 of the Public Authorities Law. These same guidelines also comprise Part 207 of the Authority's General Rules and Regulations.

PROMPT PAYMENT POLICY

SECTION 207.1, STATEMENT OF PURPOSE

This Policy Statement is adopted pursuant to Section 2880 of the Public Authorities Law.

SECTION 207.2, DEFINITION OF TERMS

Definitions. For the purpose of this policy statement, the following terms shall have the following meanings unless the context shall clearly indicate otherwise:

- (a) "Authority" shall mean the New York State Bridge Authority.
- (b) "Contract" shall mean an enforceable agreement entered into by the Authority and a Contractor, including Purchase Orders.
- (c) "Contractor" shall mean any persons or organizations providing goods, property or services to the Authority pursuant to a contract.
- (d) "Designated Payment Department" shall mean that department within the Authority to which Proper Invoice is to be submitted by a Contractor.
- (e) "Prompt Payment" shall mean any payment of a debt due and owing to the Authority before interest accrues thereon pursuant to the specifications herein.
- (f) "Proper Invoice" shall mean a written request for contract payment setting forth the description, price and quantity of goods, property or services provided by a Contractor in such form, and supported by such other substantiating documentation, as the Authority may reasonably require.
- (g) "Receipt of an Invoice" shall mean either (1) the date on which a Proper Invoice is received by the Designated Payment Department, or (2) the date on which the Authority receives the purchased goods, property or services covered by the Proper Invoice, whichever is later.
- (h) "Set-off" shall mean the reduction by the Authority of a payment due to a Contractor by an amount equal to the amount of an unpaid legally enforceable debt owed by the Contractor to the Authority.

SECTION 207.3, RULES AND REGULATIONS

- (a) Payment Request Procedure. A Proper Invoice shall be sent to the attention of the Director of Administration, and the Designated Payment Department of the Authority. The Director of Administration will enter or cause to be entered the date on which a Proper Invoice is received by the Designated Payment Department.
- (b) Schedule for Prompt Payment. Except as set forth in subdivisions 3 (e) and 3 (f) herein, the Authority will make payment in accordance with the following schedule:
 - (1) For Proper Invoices received between the date of adoption of this Policy and December 31, 1989, payment will be made within 45 calendar days after receipt.
 - (2) For Proper Invoices received on or after January 1, 1990, payment will be made within 30 calendar days, excluding legal holidays, after receipt.
 - (3) Where payment date(s) are specified by the term of a Contract, payment will be made on such predetermined payment date(s).
- (c) Date of Payment. Payment of a Proper Invoice by the Authority shall be deemed to have been made on the second business day after the date of the Authority's check issued in payment of such Proper Invoice.
- (d) Interest Penalty. If the Authority fails to make payment in accordance with the prompt payment schedule set forth herein, the Authority shall be liable for the payment of interest at a rate equal to that set by the State Tax Commission for corporate taxes pursuant to paragraph one of subsection (e) of section one thousand ninety-six of the tax law. Interest shall be computed on the basis of a 365-day year, including the first day and excluding the last day of the period for which the computation is made.

If the Authority must process payments through some other entity not under the Authority's control, the Authority shall not be liable for interest due to the process time taken by such entity.
- (e) Funds Available to Pay Interest Penalties. The Authority will pay penalties with monies from (1) proceeds received by or on behalf of the Authority under the terms of bond resolutions of the Authority, which proceeds are not otherwise pledged to secure the payment of bonds and (2) proceeds received by or on behalf of the Authority under the terms of Public Authorities Law Section 2425 et seq., which proceeds are not otherwise restricted by the provisions of such statute.

- (f) Situations which Justify Extension of Payment Time for Proper Invoices. The following circumstances constitute exceptions to the prompt payment schedule set forth in Section 3 (b):
 - (1) Statutory or contract provisions requiring an inspection or an audit prior to payment;
 - (2) A requirement for state appropriation to authorize payment;
 - (3) A requirement for federal government examination of a Proper Invoice prior to payment.
- (g) Reasons which Justify the Tolling of Payment Time for Proper Invoices. The following circumstances shall toll the prompt payment schedule set forth in Section 3 (b):
 - (1) The existence of defects in the goods, property or services delivered;
 - (2) The existence of defects in the invoice;
 - (3) Suspected improprieties of any kind;
 - (4) Failure by a Contractor to submit such documents as may be reasonably required by the Authority, or
 - (5) A dispute concerning whether a Proper Invoice is due and owing.
- (h) Tolling Regulations. In order to toll the prompt payment schedule without penalty, the Authority must notify a Contractor of any circumstances set forth in Section 3 (f) (1)-(5) herein, within fifteen days after receipt of an invoice. If the Authority fails to give notice within fifteen days, the payment period of such corrected Proper Invoice shall be reduced by the number of days between the fifteenth day and the date of notification. If the Authority fails to provide reasonable grounds for its contentions, the date by which contract payment shall be made is calculated from the date of receipt of an invoice.

SECTION 207.4, REPORTS

- (a) Statement Filing. Within thirty days of the adoption of this Statement, and of any amendments hereto, the Authority shall file copies with the State Comptroller, the State Director of the Budget, the Chairman of the Senate Finance Committee, and the Chairman of the Assembly Ways and Means Committee.

- (b) Annual Report. Within ninety days after the end of each fiscal year, commencing with the current fiscal year the Authority shall prepare an annual report on the scope and implementation of this prompt payment policy, including, but not limited to :
- (1) A listing of the type or categories which the Authority entered into during the twelve-month period of the report with an indication whether each such contract was subject to the prompt payment requirements, and if not, why not;
 - (2) The number and amount of interest payments made for contracts, arranged according to each such type or category;
 - (3) The number of interest chargeable days, and the total number of days taken to process each late contract payment; and
 - (4) A summary of the principal reasons why such late payments had to be made.

Copies of this report shall be filed with the State Comptroller, the State Director of the Budget, the Chairman of the Senate Finance Committee and the Chairman of the Assembly Ways and Means Committee.

SECTION 207.5, MISCELLANEOUS PROVISIONS

- (a) Statement Amendment. The Authority shall have the power to amend this Policy Statement by promulgating amended rules and regulations at any time.
- (b) Contract Incorporation. The Policy Statement in effect at the time of the creation of a Contract is hereby incorporated into and made a part of that Contract.
- (c) Public Access. The Authority shall make copies of this Policy Statement, as well as the annual report, available to the public upon reasonable request at the Authority's main office. In addition, the Authority shall make a copy of this Policy Statement available to each Contractor.
- (d) Inapplicability of Statute. The statute authorizing this statement is not applicable to the Authority's contracts with other governmental agencies, to interest on judgements rendered by a court against the Authority pursuant to any other statute, or in situations where the Authority exercises a legally authorized set-off against all or part of a payment due a Contractor.

- (e) Legal Processes. The Authority is under no liability to pay interest pursuant to the statute after a Contractor has filed a claim or given notice of an intention to file a claim or commenced legal action for payment of interest.

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, the New York State Bridge Authority Prompt Payment Policy as adopted by this Authority in compliance with §2880 of the Public Authorities Law requires an annual report on the scope and implementation of such policy be submitted to the Board for review purposes within ninety (90) days after the close of the fiscal year; and,

WHEREAS, the Executive Director has compiled and submitted such a report reflecting the requirements of Section 207.4 of such Policy; now therefore

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority does hereby approve the described report for fiscal year 2015 filed herewith; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

NYS Bridge Authority
Policy & Procedures Manual

CATEGORY: Administration

SUB-CATEGORY: Fixed Assets

TITLE: Guidelines and Procedures for the Disposal of Personal Property

PURPOSE: These guidelines establish the procedures which detail the Authority's policy and provide instructions regarding the use, award, monitoring and reporting of the disposal of personal property. In addition, the Guidelines designate a Contracting Officer who shall be responsible for the Authority's compliance with, and enforcement of, the Guidelines.

BACKGROUND: The Authority historically has maintained a procedure for the disposal of personal property through public auction. The Authority is establishing this set of guidelines to meet its statutory requirements governing the disposal of personal property as set forth in the Public Authorities Law.

REFERENCES: Public Authorities Law, Article 9, Title 5-A; Public Authorities Accountability Act of 2005.

DEFINITIONS:

A. Contracting Officer shall mean the officer or employee of a public authority who shall be appointed by resolution of the Authority's Board of Commissioners to be responsible for the disposition of personal property. The "Contracting Officer" is hereby designated to be the Director of Administrative Services, or a designee so stated in writing.

Contracting Officer: Robert Russo II, Director, Administrative Services

B. Disposal shall mean transfer of title or any other beneficial interest in personal property in accordance with these Guidelines.

C. Property shall mean personal property owned by the Authority in excess of five-thousand dollars in value, real property, and any inchoate or other interest in such property, to the extent that such interest may be conveyed to another person for any purpose, excluding an interest in securing a loan or other financial obligation of another party. For the purposes of these Guidelines, personal property includes but is not limited to, materials, tools, equipment, or vehicles which are not expected to be of any further use to the Authority, i.e., typically obsolete materials and supplies. Designation of such items for disposal is made in accordance with the Authority's policies and procedures for fixed asset review by the Contracting Officer and the Manager of Maintenance Programs.

D. Fair Market Value shall mean the estimated dollar amount that a willing buyer would pay to a willing seller in an arms-length transaction in the appropriate marketplace.

POLICY: In compliance with Article 9, Title 5-A of the Public Authorities Law, the Authority establishes these Guidelines for the proper disposal of personal property. It is also the Authority's policy to designate a Contracting Officer to ensure compliance and coordinate the disposal and reporting of such disposal of personal property in accordance with its Guidelines.

TRANSACTIONS NOT COVERED

These Guidelines shall not apply to any of the following transactions:

1. Disposal of real property interests.
2. Exchange of property with other State agencies or public entities as is permissible by law.
3. Disposal of rubbish or scrap materials as contracted by the Authority.

RESPONSIBILITIES AND PROCEDURES:

A. Disposal Options – For the purposes of these Guidelines, disposal options include, but are not limited to, sale to a buyer; return to the original equipment manufacturer or source; trade-ins; or disposal through the NYS Office of General Services (OGS). Use of the internet, in conjunction with the foregoing options, may also be utilized as applicable.

In most instances items to be disposed will be shipped to one central location from each facility for a planned sale.

B. Disposal Methods

Subject to section twenty-eight hundred ninety-six of Title 5-A, the Authority may dispose of property for not less than the fair market value of such property by sale, exchange, or transfer, for cash, credit, or other property, with or without warranty, and upon such other terms and conditions as the contracting officer deems proper, and it may execute such documents for the transfer of title or other interest in property and take such other action as it deems necessary or proper to dispose of such property under the provisions of this section. However, no disposition of real property, any interest in real property, or any other property, which because of its unique nature is not subject to fair market pricing, shall be made unless an appraisal of the value of such property has been made by an independent appraiser and included in the record of the transaction.

C. Bidding Procedures

1. All disposals or contracts for disposal of Authority property made or authorized by the contracting officer shall be made after publicly advertising for bids except as provided in paragraph (3) below.
2. Whenever public advertising for bids is required under paragraph (1) of this section:
 - (a) the advertisement for bids shall be made at such time prior to the disposal or contract, through such methods, and on such terms and conditions as shall permit full and free competition consistent with the value and nature of the property;

- (b) all bids shall be publicly disclosed at the time and place stated in the advertisement; and
- (c) the award shall be made with reasonable promptness by notice to the responsible bidder whose bid, conforming to the invitation for bids, will be most advantageous to the Authority, price and other factors considered; provided, that all bids may be rejected when it is in the public interest to do so.

3. Disposals and contracts for disposal of property may be negotiated or made by public auction without regard to paragraphs (1) and (2) of this section but subject to obtaining such competition as is feasible under the circumstances, if:

- (a) the personal property involved is of a nature and quantity which, if disposed of under paragraphs (1) and (2) of this subdivision, would adversely affect the state or local market for such property, and the estimated fair market value of such property and other satisfactory terms of disposal can be obtained by negotiation;
- (b) the fair market value of the property does not exceed fifteen thousand dollars;
- (c) bid prices after advertising therefore are not reasonable, either as to all or some part of the property, or have not been independently arrived at in open competition;
- (d) the disposal will be to the state or any political subdivision, and the estimated fair market value of the property and other satisfactory terms of disposal are obtained by negotiation;
- (e) the disposal is for an amount less than the estimated fair market value of the property, the terms of such disposal are obtained by public auction or negotiation, the disposal of the property is intended to further the public health, safety or welfare or an economic development interest of the state or a political subdivision (to include but not limited to, the prevention or remediation of a substantial threat to public health or safety, the creation or retention of a substantial number of job opportunities, or the creation or retention of a substantial source of revenues, or where the Authority's enabling legislation permits), the purpose and the terms of such disposal are documented in writing and approved by resolution of the board of the Authority; or
- (f) such action is otherwise authorized by law.

4. An explanatory statement shall be prepared of the circumstances of each disposal by negotiation of:

- (a) any personal property which has an estimated fair market value in excess of fifteen thousand dollars;
- (b) any real property that has an estimated fair market value in excess of one hundred thousand dollars, except that any real property disposed of by lease or exchange shall only be subject to clauses (c) through (e) of this subparagraph;
- (c) any real property disposed of by lease for a term of five years or less, if the estimated fair annual rent is in excess of one hundred thousand dollars for any of such years;

(d) any real property disposed of by lease for a term of more than five years, if the total estimated rent over the term of the lease is in excess of one hundred thousand dollars; or

(e) any real property or real and related personal property disposed of by exchange, regardless of value, or any property any part of the consideration for which is real property.

Each such statement shall be transmitted to the State Comptroller, Commissioner of General Services, Division of the Budget, and the State Legislature not less than ninety days in advance of such disposal, and a copy thereof shall be preserved in the files of the Authority.

D. Disposal Options If No Bids Are Received

If bidding does not result in the submittal of proposals to purchase the Property from the Authority, or if all such proposals received are less than the Authority's Fair Market Value estimate, the Contracting Officer shall confer with the Director of Revenue and Finance and the Executive Director to determine if (i) re-bidding is feasible; (ii) disposal by other methods would be appropriate; and/or (iii) if the Fair Market Value estimate requires review and possible adjustment.

E. Parties Prohibited From Bidding

All current employees of the Authority and relatives of such employees or third parties acting on behalf of such employees shall not be eligible to bid for the purchase of such Property.

F. Award Of Bids

1. Following the receipt of bids for the Property, the Contracting Officer together with the Manager of Maintenance Programs shall evaluate the bids submitted and determine whether the highest of such bids is reasonable, given the Fair Market Value of the Property.

2. If it is determined that the bid is reasonable, the Contracting Officer shall arrange for the sale to the person offering such bid.

3. If it is determined that the highest bid is not reasonable, the Property shall be retained for future disposal in accordance with these procedures.

G. Trade-Ins

This procedure is not intended to restrict the trade-in of equipment or vehicles from dealers furnishing replacement equipment or vehicles where reasonable value can be obtained for the trade-in. Any such proposed trade-in must be included as part of the solicitation of bids for the replacement equipment. No trade-in shall be made unless the value of the trade-in is equal to or exceeds the Fair Market Value estimate.

H. Disposal Through NYS OGS

The Authority may enter into an agreement to dispose of Property through OGS if it is determined advantageous to do so. This disposal method may include use of on-line disposal methods by OGS. OGS may also be used to facilitate disposition to other State agencies. Disposal of items in this manner represents the best value to New York State in lieu of attempted re-sale of such materials.

I. Third Party or Auction Services

Whenever disposal of Property is contracted through third-party or auctioneer services as permitted by these Guidelines, vendor selection will be made in accordance with Authority policies and procedures for personal service contracts.

J. Forms of Payment

The proceeds from the sale of Property may be made payable to the Authority in the form of cash or certified check. Transfers of equipment to other state agencies or authorities may be handled as negotiated between the Authority and those state entities.

K. Reporting Requirements

1. The Authority shall publish, not less frequently than annually, a report listing all real property of the Authority. Such report shall consist of a list and full description of all real and personal property disposed of during such period. The report shall contain the price received by the Authority and the name of the purchaser.
2. Such report as approved by the Board of Commissioners shall be delivered to the Comptroller, Director of the Budget, Commissioner of General Services and the State Legislature.
3. These Guidelines shall be reviewed and approved annually by the Authority Board. On or before the thirty-first day of March in each year, the Authority shall file with the Comptroller a copy of these Guidelines as reviewed and approved, including the name of the Contracting Officer as appointed by the Board. At the time of such filing of these Guidelines with the Comptroller, the Authority shall also post such Guidelines on the Authority's internet website and maintain such Guidelines on the website.

**NEW YORK STATE BRIDGE AUTHORITY
ANNUAL REPORT PER SECTION 2800 OF PUBLIC AUTHORITIES LAW
REAL PROPERTY
YEAR ENDED 12/31/15**

Facility Name	Replacement Costs * (as of 12/31/15)
Rip Van Winkle Bridge Catskill, NY	Bridge: \$197,709,000 Buildings: \$5,083,366
Kingston-Rhinecliff Bridge Kingston, NY	Bridge: \$272,093,000 Buildings: \$4,780,067
Mid-Hudson Bridge Highland, NY	Bridge: \$274,194,000 (main span) \$ 26,429,000 (approach spans) Buildings: \$4,894,799 (Headquarters bldgs.) \$8,713,854 (MHB buildings)
Newburgh-Beacon Bridge Beacon, NY	Bridge: \$249,540,000 (north span) \$348,030,000 (south span) \$ 7,561,000 (approach spans) Buildings: \$9,418,141
Bear Mountain Bridge Ft. Montgomery, NY	Bridge: \$152,498,000 Buildings: \$6,913,374
Walkway Over The Hudson Poughkeepsie-Highland, NY	Bridge: \$227,414,000

* Does not include the value of the Authority's land.

* The Authority has no plans to dispose of any real property during 2016.

NEW YORK STATE BRIDGE AUTHORITY

Fair Market Value >= \$5,000

JANUARY 1, 2015 - DECEMBER 31, 2015

THE NYS BRIDGE AUTHORITY HAD THE FOLLOWING ASSET DISPOSALS IN 2014 WITH FMV >= \$5,000

NYSBA F/A NO.	VEHICLE NO.	DESCRIPTION	SERIAL NUMBER	PURCHASE DATE	PURCHASE AMOUNT	GROSS INCOME	NET INCOME	REASON	PURCHASER	DISPOSAL DATE
10187	296	2005 Chevrolet Silverado 2500HD w/Plow	1GCHK24U05E233859	2/3/2005	19,056.15	7,800.00	7,800.00	Disposed at auction 1/07/15	Auctions International, Inc.	01-07-15
10677	305	2006 Chevrolet Silverado Crew Cab Pickup	1GCHK23U86F228230	5/30/2006	23,147.27	6,200.00	6,200.00	Disposed at auction 1/07/15	Auctions International, Inc.	01-07-15

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, the Public Authority Accountability Act of 2005 requires that Authorities annually review and re-adopt guidelines for the disposal of property subject to Article 9, Title 5-A of the Public Authorities Law; and,

WHEREAS, Board has received and reviewed its disposal guidelines; now therefore

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority re-adopts the attached "Guidelines and Procedures for the Disposal of Personal Property" and re-designates the Director of Administrative Services as its Contracting Officer with the duties and responsibilities as described within the "guidelines"; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, the Public Authority Accountability Act of 2005 requires that Authorities submit annually a report listing all real property owned by the Authority together with a report of all real and personal property disposed subject to Article 9, Title 5-A of the Public Authorities Law; and,

WHEREAS, the Authority Board has received and reviewed the subject reports; now therefore

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority hereby approves the Real Property and the Disposal of Personal Property reports for 2015 and authorizes their submission in accordance with the reporting requirements of Article 9, Title 5-A of the Public Authorities Law; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

1. Procurement Guidelines

In support of the Authority's mission as outlined in section 2 of this manual, the Board adopts annually the NYSBA Procurement Guidelines.

NEW YORK STATE BRIDGE AUTHORITY
ESTABLISHING STANDARDS FOR THE USE, AWARD
MONITORING AND REPORTING OF PROCUREMENT CONTRACTS

ARTICLE I

Sec. 1.01 Statement of Purpose. These guidelines are adopted pursuant to the provision of the Act and Section 2879 of the Public Authorities Law, as amended, and shall be reviewed and approved by the Authority Board, at least annually.

ARTICLE II

A. DEFINITIONS

Sec. 2.01 Definitions. The following terms shall, for purposes of these guidelines, have the following meanings unless the context shall clearly indicate some other meaning:

“Act” shall mean Chapter 67 of the Laws of 1985.

“Authority” shall mean the New York State Bridge Authority.

“Authority Board or Board” shall mean the collective members of the Authority Corporate Board as defined in Section 527 of the Public Authorities Law.

“Authority Employee” shall mean employees of the Authority or any governmental agency with which the Authority has entered into an agreement for the provision of services to the Authority.

“Authority Officer” shall mean an officer of the Authority duly appointed by the Authority Board, as defined in the Corporate By-Laws of the Authority.

“Construction Contracts” shall mean a written agreement whereby the Authority is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon.

“Counsel” shall mean an attorney or firm of attorneys in the regular employment of the Authority or of any governmental agency with which the Authority has entered into an agreement for the provision of legal services to the Authority.

“Emergency” shall mean an urgent and unexpected event where health and public safety or the conservation of public resources is at risk. It may also include an

accident or unanticipated occurrence, which can adversely impact public buildings, property, or the life, health, and safety of New York State residents and requires immediate action. Further, a man-made or natural disaster, as defined under Article 2-B of the Executive Law § 20 (2)(a), shall also constitute an emergency under this Section. This definition additionally consists of a national defense emergency as proclaimed by the President of the United States in conformity with subsection (13) of § 9103 of Article 1 of the New York State Defense Emergency Act. Lastly, it includes times when the Board of Commissioners is unable to act because there are fewer than a quorum of the members are acting as such or able to act.

“Emergency Procurement” shall mean one in which an urgent and unexpected situation occurs where health and public safety or the conservation of public resources is at risk and a purchase of goods and/or services must be made in response thereto. A failure to properly plan in advance, which then results in a situation where normal purchasing practices cannot be followed does not constitute an emergency procurement.

“Foreign Business Enterprises” shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which offers for sale, lease or other form of exchange, goods sought by the Authority and which are substantially produced outside New York State, or services sought by the Authority and which are substantially performed outside of New York State.

“Minority Business Enterprise” shall mean any business enterprise, including a sole proprietorship, partnership or corporation that is:

- a. At least fifty-one percent (51%) owned by Blacks, Hispanics, Asians, Pacific Islanders or Native Americans, or, in the case of a publicly-owned business, at least fifty-one percent (51%) of the common stock or other voting interests of which is owned by Blacks, Hispanics, Asians, Pacific Islanders or Native Americans;
- b. An enterprise in which the minority ownership is real, substantial and continuing;
- c. An enterprise in which the minority ownership has, and exercises, the authority to control independently day-to-day business decisions;
- d. An enterprise authorized to do business in New York State, independently owned and operated, and not dominant in its field.

"New York Resident" shall mean a natural person who maintains a fixed, permanent and principal home located within New York State and to which such person, whenever temporarily located, always intends to return.

"New York State Business Enterprise" shall mean a business enterprise, including a sole proprietorship, partnership, or corporation, which offers for sale or lease or other form of exchange, goods which are sought by the Authority and which are substantially manufactured, produced or assembled in New York State, or services which are sought by the Authority and which are substantially performed within New York State.

"Other Than Personal Service Contracts" shall mean any written agreement or purchase order entered into by the Authority for the acquisition of goods, materials or other than personal services in the actual or estimated amount of five thousand dollars (\$5,000) or more. Goods, materials or other than personal services that the Authority may require for five thousand dollars (\$5,000) or more included, but are not limited to, the following: vehicles, computer equipment, telephone equipment, and photocopying equipment, furniture, office supplies, printing and offsetting services, utilities and maintenance supplies. This does not include construction contracts.

"Personal Services" shall mean any services performed for a fee, commission or other compensation by persons or organizations that are not providing such services as Authority employees or officers or employees of any state agency or public corporation. Personal services include, but are not limited to, legal, accounting, management consulting, investment banking, planning, training, statistical research, public relations, architectural, engineering, surveying or any other services of a consulting, technical or professional nature.

"Procurement Contracts" shall mean, for purposes of these guidelines, any written agreement for the acquisition of goods or services of any kind, in the actual or estimated amount of five thousand dollars (\$5,000) or more.

"Service-Disabled Veteran-Owned Business Enterprise" shall mean any business enterprise including a including a sole proprietorship, partnership, limited liability company or corporation, including not-for-profit corporations that is:

- a. At least fifty-one percent owned by one or more service-disabled veterans;
- b. An enterprise in which such service-disabled veteran ownership is real, substantial, and continuing;
- c. An enterprise in which such service-disabled veteran ownership has and exercises the authority to control independently the day-to-day business decisions of the enterprise;

- d. An enterprise authorized to do business in this State and is independently-owned and operated;
- e. An enterprise that is a small business as defined in (v) of 9 NYCRR 252.1; and
- f. Certified by the Office of General Services.

"Time Critical" shall mean a requirement so important that if it is not met immediately, the outcome will become or approach a state of material risk to Authority assets.

"Women-owned Business Enterprise" shall mean any business enterprise, including a sole proprietorship, partnership or corporation that is:

- a. At least fifty-one percent owned by one or more United States citizens or permanent resident aliens who are women, or, in the case of a publicly owned business, at least fifty-one percent of the common stock or other voting interests of which is owned by United States citizens or permanent resident aliens who are women;
- b. An enterprise in which the ownership of women is real, substantial and continuing;
- c. An enterprise in which the women ownership has, and exercises, the authority to control independently day-to-day business decisions;
- d. An enterprise authorized to do business in New York State, independently owned and operated, and not dominant in its field.

ARTICLE III

A. USE AND SELECTION OF PROCUREMENT CONTRACTORS

Sec. 3.01 Use and Selection of Other than Personal Service Contracts

- (1) For the procurement of Other than Personal Service Contracts the Authority is required to follow internal control policies and procedures related to the purchase of such goods or materials as promulgated by the Authority from time to time, subject to the limitations of Section 3.03 of these guidelines. Reference to these procedures can be found under Purchasing in the Authority's policy and procedures manual.
- (2) For the procurement of construction contracts the Authority is required to follow internal control policies and procedures related to the purchase of such goods or materials as promulgated by the Authority from time to time, subject to the limitations of Section 3.03 of these guidelines. Reference to these procedures can be found under Facility Design, Contract Bidding and Award in the Authority's policy and procedures manual.

Sec. 3.02 Use, Selection and Award of Personal Service Contractors

- (1) Use of Personal Service Contractors. It is the preference of the Board that Authority responsibilities be performed by employees and that, when personal services are used, contracts be awarded to as broad a spectrum of providers as is practical. Personal Service Contractors shall be used only when it has been determined by the Executive Director:
 - (a) That such services are necessary or convenient to the performance of Authority responsibilities, and
 - (b) That such service is not available from an officer or employee of the Authority, and that the performance of such service requires it be undertaken by someone independent of the Authority or that use of Authority personnel for such service would not be cost effective.
- (2) Selection of Personal Service Contractors. It is the policy of the Authority that contracts for personal service be awarded by competition.

Where compensation is to exceed or expected to exceed \$50,000, formal requests for proposals shall be circulated and the contractor selected from among those submitting proposals.

Where compensation is expected to be between \$5,000 and \$50,000, proposals shall be requested by telephone or letter from at least three qualified providers when practical and the contractor selected from among those submitting proposals. The individual soliciting such proposals shall make a record of the scope of services requested, the name of each individual requested to submit a proposal, the amount of each proposal offered, and any other pertinent information, this record shall be maintained for a period of at least three years following the date of approval of the next annual report on Procurement Contracts of the Authority. Where a total compensation in any calendar year is expected to be below \$5,000, contracts may be awarded without competition.

- (3) Awards of Personal Service Contracts. The Board shall award, by resolution, all contracts for personal services greater than \$15,000 following evaluation of proposals received, balancing price, services offered, experience, reputation in the field, personal interviews with key personnel and any other relevant Authority priority or policy. In cases of emergency requiring immediate action, the power to award such a contract shall be granted to the Executive Director who shall report such an award with supporting reasons for the award to the Board at its next meeting.
- (4) All contracts for personal services shall be limited to a maximum of one year unless the Board, by resolution, determines that a longer period for a particular contract is in the best interest of the Authority. Contracts for periods of longer than one year must be approved by Board resolution and reviewed annually by the Board.
- (5) Except in cases of emergency requiring immediate action, all contracts for personal services shall be in writing.
- (6) The form of all contracts for personal services shall be approved by counsel.
 - (a) Such contracts should clearly establish and state basis of need, purpose, and services to be rendered.

- (b) Such contracts, except in individual multi-year project situations, should not be open-ended but require an annual review and justification by the Authority Board.
- (c) All such contracts, to the extent appropriate, should be based on unit costs and should provide for a detailed review of performance prior to approval for payment by the Executive Director.

Sec. 3.03 Approval and Award for Procurement Contracts

- (1) Source Selection Methods. The Authority shall not approve Procurement Contractors unless the source selection is performed in accordance with one of the following selection methods:

- (a) Competitive Bid. Solicitation of price bids for specified services, or goods, materials or other than personal services, to be awarded to qualifying contractors primarily on the basis of the lowest price. Competitive bids are to be solicited when the goods or services required are of a standardized nature that may reasonably be made the subject of specifications to which bidders respond with required qualification data and price offers.

- (b) Requests for Proposals. Solicitation of specific proposals which indicate an understanding of identified financial, organizational, logistical and technical requirements and/or problems and which detail elements of performance including techniques and procedures as well as prices. Award of a contract within this method is made on the basis of a formal evaluation of the characteristics, quality and costs of such proposals.

- (c) Competitive Negotiations. Solicitation of qualifying potential contractors who have submitted materials pursuant to a request for proposal to further negotiate their proposals, including prices for contract award, on the basis of a formal evaluation of the characteristics, quality and cost of such proposals.

- (d) Sole Source. When the Authority determines, and the Executive Director approves in writing, that there is only one source for

required goods or services or that one firm has a unique advantage with respect to the provision of particular goods or services which would render competitive procedures futile, the contract for those goods or services may be awarded without competitive procedures.

(e) **Emergency.** In the case of a public emergency arising out of an accident or other unforeseen occurrence of condition whereby circumstances affecting property of the Authority of life, health or safety require immediate action, the Executive Director or an Officer designee may award a contract without competitive procedures.

(f) **Pre-qualified Slate.** The Authority may select contractors for any procurement activity from a qualified slate of potential contractors, selected on the basis of qualification and performance data, which data shall be updated and/or re-certified on a regular basis. Contract award is based upon formal evaluation of qualifications and the subsequent negotiation of fair and reasonable compensation for the specific services then required.

(g) **Exceptions.** The Authority reserves the right to award Procurement Contracts valued below \$15,000 without competitive procedures, subject to internal control policies and procedures promulgated by the Authority from time to time.

Sec. 3.04 Limitations on Contracts with Former Officers, Board Members and Employees. Former Officers, Board Members, or employees of the Authority shall receive no preference or special consideration in the award of procurement contracts and the award of a contract to any former Officer, Board Member or employee shall be made only if the Board determines unanimously that it will be in the best interests of the Authority to do so.

The Authority may not award a Procurement Contract to any former Officer, Board Member or employee, within a period of two years after the termination of such service or employment.

Sec. 3.05 Contract Provisions. Contracts for Personal Services shall detail the scope of services to be performed and the time frame for performance, the monitoring or reviewing of that performance by Authority personnel and, where appropriate, any permitted use of supplies, facilities or personnel.

Such contracts shall also state the compensation for the services, the timing of payment, the preconditions for receiving payment from the Authority, procedures for termination of the contract and any other provisions counsel deems necessary or appropriate for each particular contract. In addition, if performance of a particular service contract will require the use of subcontractors, the contract shall require the contractor to act affirmatively to secure such participation by minority and women-owned business enterprises and to report such efforts to the Authority in accordance with predetermined Authority participation goals.

Sec. 3.06 Execution of Contracts. All such contracts for personal services (other than emergency contracts) following award by Board resolution and approval as to form, by counsel, shall be executed by the Chairman, or his designee, as duly authorized by resolution in accordance with Article IV, Section 1, of the Authority By-Laws.

Each contract shall contain provisions concerning the nature and monitoring of work to be performed, the use of corporate supplies and facilities, the use of Authority personnel and any other provision deemed necessary by counsel.

ARTICLE IV

B. ADVERTISEMENTS

Sec. 4.01 Advertisements of Procurement Contracts. Advertisement Requirements for Competitive Source Selection Methods. The solicitation of bids, proposals or submissions of qualification data or offers for Procurement Contracts shall be made by the Authority in a manner determined by the Executive Director to be the most cost effective for providing reasonable competition of the Authority's contracts. This may include advertisement in appropriate newspapers or trade journals, direct mailings to firms considered qualified and such other outreach mechanisms as are consistent with the policy of these guidelines, including the minority and women-owned business provisions herein, In addition, in the case of Procurement Contracts in the actual or estimated amount of fifteen thousand dollars (\$15,000) or more, and in the establishment of pre-qualified slates, as of January 1, 1990, the Authority will advertise all such opportunities in the "Procurement Opportunities Newsletter" published by the New York State Department of Economic Development, subject to the following exemptions:

- (a) Emergency Contracts: Contracts awarded without competitive procedures as a result of an accident or other unforeseen occurrence or condition in which circumstances affecting property of the Authority or life, health or safety require immediate action as determined by the Executive Director or an Officer designee.
- (b) Critical Contracts: Contracts which must be awarded within a set time period because of delay of award would have a serious adverse effect on the Authority that outweighs the benefits of advertisement in the newsletter as determined by the Executive Director, the Chief Financial Officer, or an Officer designee.
- (c) Contract opportunities being re-bid or re-solicited within forty-five (45) business days after proposals were originally due pursuant to publication in the newsletter are exempt from further publication.
- (d) Contracts containing a renewal clause in which the renewal clause is being exercised for another term.

All Procurement Contracts shall be limited to a maximum of one year unless the contract contains a renewal clause or the Board, by resolution, determines that a longer period for a particular contract is in the best interest of the Authority.

ARTICLE V

C. MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISE UTILIZATION

Sec. 5.01 It is the goal of the Authority to (a) promote and assist participation by minority women-owned business enterprises in competition for Procurement Contracts and to (b) award a fair share of Procurement Contracts to minority and women-owned business enterprises.

(a) The Department Director of the Authority department responsible for solicitation of goods, materials or services shall use his/her best efforts to ascertain the identity of minority and women-owned business enterprises in various areas of Authority procurements and, depending on the amount and nature of the procurement, bids, price quotes or proposals shall be solicited from such enterprises to the extent feasible. Consultation should be made to the director of certified minority and women-owned businesses at a minimum.

(b) When compensation is expected to exceed \$25,000 for non-personal and personal service contracts and \$100,000 for construction contracts, the Authority shall require the proposer to submit a minority and women-owned business enterprise utilization plan for achieving a goal for participation of certified minority and women-owned businesses. Goals shall be set at the discretion of the Authority based on the following factors:

1) The contract scope of work;

2) The number and types of certified minority and women-owned business enterprises available in the directory to perform the state contract work, and their availability in the region of contract performance. In determining the region where the state contract is performed the state agency shall consider:

(i) The location of work as stated in the contract, and

(ii) The locations in New York State where the contractor performs the contract scope of work;

3) The total dollar value of the work required by the state contract in relation to the dollar value of the components of the contract scope of work;

4) The relationship of the size and term of a state contract to the size and term of a project for which purpose the state contract is awarded;

- 5) The Authority's ability to identify certified minority and women-owned businesses to meet goals required by the state contract;
- 6) The percentage of minority and women-owned business by type of business as compared to the known businesses of the same type located in the region where the contract scope of work will be performed;
- 7) The known success or failure of minority and women-owned business in obtaining participation on state contracts engaged in the types of work as businesses or suppliers required by the contract; and
- 8) The Authority may also consider the following factors in determining what goals are appropriate in relation to a particular state contract or type of contracts:
 - (i) The ability of the Authority to meet goals for participation by certified minority and women-owned business enterprises established in relation to other similar state contracts or types of contracts in the same region; and
 - (ii) The ability of other state agencies or authorities to meet goals for participation by certified minority and women-owned business enterprises established in relation to similar state contracts, or types of contracts performed in the same region.
- (d) When compensation is expected to be less than \$25,000 for other than personal service contracts and less than \$100,000 for construction contracts, the Authority will give companies or firms certified as minority or women-owned businesses or companies who work in conjunction with certified minority or women-owned businesses, a competitive advantage of up to 10% variance to the lowest qualified bid or proposal in the evaluation of their bids or proposals.

ARTICLE VI

D. SERVICE-DISABLED VETERAN-OWNED BUSINESS ENTERPRISE UTILIZATION

Sec. 6.01 It is the goal of the Authority to (a) promote and assist participation by service-disabled veteran-owned business ("SDVOB") enterprises in competition for

Procurement Contracts and to (b) award a fair share of Procurement Contracts to service-disabled veteran -owned business enterprises.

- (a) The Deputy Executive Director of the Authority, as the SDVOB Compliance Officer, will provide oversight to other contracting personnel, who are responsible for solicitation of goods, materials or services. The contracting staff shall use his/her best efforts to ascertain the identity of SDVOB enterprises in various areas of Authority procurements and, depending on the amount and nature of the procurement, bids, price quotes or proposals shall be solicited from such enterprises to the extent feasible. Consultation should be made to the Deputy Executive Director, as the Compliance Officer of SDVOB enterprises at a minimum.
- (b) Where practical, feasible and appropriate, the Authority shall seek to achieve a 6 (six) percent goal for the utilization of SDVOB's for all construction contracts, including but not limited to, consultant expenditures. Further, a similar six percent goal for the utilization of SDVOB's shall apply to contracts for services/consultant expenditures and commodity expenditures. The Authority shall require the proposer to submit a SDVOB utilization plan for achieving a goal for participation of certified SDVOB. Goals shall be set at the discretion of the Authority based upon its current SDVOB Goal Plan, which may include the following factors:
 - 1) The contract scope of work;
 - 2) The number and types of SDVOB enterprises available in the directory to perform the state contract work, and their availability in the region of contract performance. In determining the region where the state contract is performed the state agency shall consider:
 - (i) The location of work as stated in the contract, and
 - (ii) The locations in New York State where the contractor performs the contract scope of work;
 - 3) The total dollar value of the work required by the state contract in relation to the dollar value of the components of the contract scope of work;
 - 4) The relationship of the size and term of a state contract to the size and term of a project for which purpose the state contract is awarded;
 - 5) The Authority's ability to identify SDVOBs to meet goals required by the state contract;

- 6) The percentage of SDVOB by type of business as compared to the known businesses of the same type located in the region where the contract scope of work will be performed;
- 7) The known success or failure of SDVOBs in obtaining participation on state contracts engaged in the types of work as businesses or suppliers required by the contract; and
- 8) The Authority may also consider the following factors in determining what goals are appropriate in relation to a particular state contract or type of contracts:
 - (i) The ability of the Authority to meet goals for participation by certified SDVOB enterprises established in relation to other similar state contracts or types of contracts in the same region; and
 - (ii) The ability of other state agencies or authorities to meet goals for participation by SDVOB enterprises established in relation to similar state contracts, or types of contracts performed in the same region.
- (c) When compensation is expected to be less than \$25,000 for other than personal service contracts and less than \$100,000 for construction contracts, the Authority will give companies or firms certified as SDVOBs or companies who work in conjunction with certified SDVOBs, a competitive advantage of up to 10% variance to the lowest qualified bid or proposal in the evaluation of their bids or proposals.

Sec. 6.02 Set Asides. The Authority may reserve or set aside certain procurement opportunities for purposes of achieving the goals of participation of SDVOBs to achieve the objectives of Article 17-B of the Executive Law upon its consultation with the Commissioner of General Services.

Sec. 6.03 Posting of Utilization Plans. Upon the execution of an Authority contract, the Authority shall post the utilization plan or waiver, if granted, and the following information on the Authority's website within 10 business days after approval by the Authority:

- (i) The name and address of the contractor;
- (ii) The contract number;

- (iii) The project number, if applicable;
- (iv) The contract award date;
- (v) The estimated date of completion or end of the contract term;
- (vi) The amount obligated under the contract;
- (vii) A description of work or deliverables required by the Authority contract;
- (viii) The name and address of certified enterprises referenced in the utilization plan and contract work they shall perform; and
- (ix) A description of any waivers granted.

(d) After commencement of contract, any waivers of compliance issued by the Authority or modifications to the utilization plan shall be posted on the Authority's website within 10 business days of making such a decision.

ARTICLE VII

E. PROMOTION OF STATE PARTICIPATION

Sec. 7.01 It is the Authority's policy to promote the participation by New York State business enterprises and New York State residents in procurement contracts. To this end the Authority will:

- (a) Consult the specifications of New York State business enterprises in developing specifications for any procurement contract for the purchase of goods where possible, practicable, feasible and consistent with open bidding. Where feasible the Authority will make use of the stock item specification forms prepared by the Commissioner of General Services, and where necessary, consult with the Commissioner of the Office of General Services, in developing such specifications and make such determinations; and
- (b) With the cooperation of the Department of Economic Development and through cooperative efforts with contractors, provide for the notification of New York State business enterprises of opportunities to participate as subcontractors and suppliers on procurement contracts let in an amount estimated to be equal to or greater than one million dollars (\$1,000,000) by cooperating with New York State in efforts to obtain offset credits from foreign countries and promulgating procedures which will assure compliance by contractors with such notification as a condition of awarding bids. Such contractors shall, as supplementary materials to their bids, document their efforts to encourage the participation of New York State business enterprises as suppliers and subcontractors on procurement contracts equal to or greater than one million dollars (\$1,000,000), attest to compliance with the federal Equal Employment Opportunity Act of 1972 (P.L. 92-261), as amended; and document their efforts to provide notification to New York State residents of employment opportunities through the listing of any such positions with the job service division, or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements; and
- (c) Include in all bid documents provided to potential bidders a statement that information concerning the availability of New York State subcontractors

and suppliers is available from the New York State Department of Economic Development, which shall include the directory of certified minority and women-owned businesses, and that it is the policy of New York State to encourage the use of New York State subcontractors and suppliers, and to promote the participation of minority and women-owned businesses where possible, in the procurement of goods and services; and

- (d) With the cooperation of the job service division of the Department of Labor and through cooperative efforts with contractors, provide for the notification of New York State residents of employment opportunities arising in New York State out of procurement contracts let by the Authority in an amount estimated to be equal to or greater than one million dollars (\$1,000,000); and promulgate procedures which will assure compliance by contractors with such notification by requiring contractors to submit post award compliance reports documenting their efforts to provide such notification through listing any such positions with the job service division, or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements.

Sec. 7.02

Notification of Commissioner of Economic Development. The Authority will notify the Commissioner of Economic Development of the award of a procurement contract for the purchase of goods from a foreign business enterprise in an amount equal to or greater than one million dollars (\$1,000,000) simultaneously with notifying the successful bidder and will not enter into a procurement contract for said goods until at least fifteen days has elapsed, except for procurement contracts awarded on an emergency or critical basis. The notification to the Commissioner of Economic Development shall include the name, address, telephone and facsimile number of the foreign business enterprise, the amount of the proposed procurement contract and the name of the individual at the foreign business enterprise or acting on behalf of the same who is principally responsible for the proposed procurement contract. Such notification shall be used by the Commissioner of Economic Development solely to provide notification to New York State business enterprises of opportunities to participate as subcontractors and suppliers on such procurement contracts, to promote and encourage the location and development of new business in the state, to assist New York State business enterprises in obtaining offset credits from foreign countries, and to otherwise investigate, study and undertake means of promoting and encouraging the prosperous development and protection of the legitimate interest and welfare of New York State business enterprises, industry and commerce.

ARTICLE VIII

F. REPORTS ON PROCUREMENT CONTRACTORS

Sec. 8.01 Annual Report. Within ninety days after the close of each fiscal year, the Board of the Authority shall approve an annual report on Procurement Contracts. Such annual report will include:

- These guidelines;
- any amendments hereto enacted during the year;
- any explanation of the guidelines or the amendments appropriate or necessary for purposes of clarity;
- a list of the procurement contracts over \$5,000 entered into during previous years and ongoing during the year;
- the selection process used to select each contractor;
- a description of the duties performed by each contractor;
- the date of each contract and its duration;
- the total amount of each contract;
- the status of each contract, including the amount spent on the contract during the reporting year and during the life of the contract;
- a list of all contracts exempted from the advertisement segments of Article 4-C of the Economic Development Law under Article IV of these guidelines and an explanation of the reason for the exemption; and
- a differentiation between New York State Business Enterprises and Foreign Business Enterprises.

The annual report on Procurement Contractors, after being approved by the Board, shall be submitted to the Division of the Budget with copies to the Department of Audit and Control, the Department of Economic Development, the Senate Finances Committee and the Assembly Ways and Means Committee.

ARTICLE IX

G. CONSTRUCTION CONTRACT CHANGE ORDER PROCEDURE AND EMERGENCY PROCUREMENT

Sec. 9.01 Change Orders. All change orders related to construction contracts are to be received and reviewed by the Chief Engineer. The Engineer has the sole responsibility to manage and review all proposed change orders consistent with the best interests of the Authority. Additionally, the following will be required of the Chief Engineer:

- Each month, as part of the Jobs in Progress report to the Board, the Chief Engineer will include a page summarizing each individual change order for all active construction contracts. Summarized by contract, a cumulative list from inception of the contract of each change order reflecting a description of the change order, a status indicating whether a change order has been approved or approval pending, and the net dollar value (plus or minus) associated with the change order. In addition, summarized by contract, the report will identify a current estimate of the total contract reflecting all proposed change orders and the original Board approved amount of the contract.
- The Chief Engineer will be responsible to bring to the Board separately, over and above the expanded Jobs in Progress report, a resolution to amend an original contract for additional work to be paid in all instances when the net amount of all contract specific change orders exceeds the originally approved amount of that contract.
- For all change orders, no approval shall be given to a contractor, regardless of whether approval is required by the Board, until it has appeared on at least one board meeting's Jobs in Progress report unless the Board is unable to act by virtue of a lack of a quorum resulting from vacancies on the Board. In the event of a change order where approval is time critical, notice to proceed may be granted by the Executive Director after consultation with both General Counsel and the Chairman of the Board.

Sec. 9.02 Emergency Procurement. It is critical to the process that all change orders be approved by the Board prior to such approval being communicated to a vendor. Prior approval of change orders that increase the value of a contract and those that solely modify an original contract without expending incremental funds are significant in that they modify a Board approved contract. These measures will promote transparency and ensure that no change order is finalized without prior approval of the Board.

Notwithstanding the foregoing, upon the occurrence of an unexpected event requiring immediate action and the Board is not able to convene a meeting or to form a quorum, the Executive Director, in consultation with General Counsel, shall act on behalf of the Board to approve change orders and/or procure goods and services required to undertake response measures appropriate for the circumstances.

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, the Bridge Authority has heretofore adopted Standards For the Use, Award Monitoring and Reporting of Procurement Contracts which was last amended as of November 15, 2012; and,

WHEREAS, the Board of Commissioners has received and reviewed these guidelines as newly amended; and

WHEREAS, the guidelines recommend that they be reviewed and confirmed by the Board annually; now therefore

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority re-affirms its Standards For the Use, Award Monitoring and Reporting of Procurement Contracts as presented; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, the Board has received and reviewed the Authority's 2015 Annual Procurement Report in accordance with its Procurement Guidelines and pursuant to Section 2879 of the Public Authorities Law; now therefore

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority hereby approves the Annual Procurement Report for 2015 and authorizes its formal submission as required by the Public Authorities Law; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

NYS BRIDGE AUTHORITY
Annual Procurement Contracts Report
Fiscal Year Ending December 31, 2015

Contract Expenditures >= \$5,000

* Vendor Name	Transaction Number	* Procurement Description	* Status	* Type of Procurement	* Award Process	Award Date	Begin Date	Renewal Date	Does the contract have an end Date?	End Date
ADIRONDACK CABLING INC.	BA-15-RE-002-AC	HDQ - CAMERAS	Open	Commodities/Supplies	Purchased Under State Contract					
ADVANCED MOTION SYSTEMS, INC.	BA-13-OO-110-AC	LIGHT CURTAIN COMPONENTS	Open	Other	Authority Contract - Non-Competitive Bid	5/21/2015	5/21/2015	Y		12/31/2015
AFFINITY ENTERPRISES	BA-11-RO-009-IT.3	SYS - COMPUTER REPLACEMENT PROGRAM	Completed	Commodities/Supplies	Purchased Under State Contract					
ALBRO UTILITIES CORP.	BA-2015-RE-104-CM.15	Convector Elements	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order					
AMERICAN BLADE MFG. LLC		PLOW PARTS	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
AMERICAN CRANE & EQUIPMENT CORPORATION		MHB - REPAIR TRAVELERS 2014	Open	Other Professional Services	Non Contract Procurement/Purchase Order					
AMMANN & WHITNEY CONSULTING ENGINEERS PC	BA-12-RE-101-DS	NBB - SS DECK REPLACEMENT-DESIGN SUPPORT	Open	Design and Construction/Maintenance	Authority Contract - Non-Competitive Bid	2/21/2013	2/21/2013	Y		11/30/2015
ANNESE & ASSOCIATES, INC.	BA-14-RO-003-IT	SYS - NETWORK UPGRADES	Completed	Technology - Consulting/Development or Support	Purchased Under State Contract					
ASPEN AERIALS		SYS-UNDERBRIDGE ANNUAL INSPECTION	Completed	Other	Non Contract Procurement/Purchase Order					
ASSOCIATES OF GLENS FALLS		BOAT INSURANCE RENEWAL	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	4/15/2015	5/2/2015	Y		5/1/2016
ASSOCIATES OF GLENS FALLS		COMMERCIAL PACKAGE	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	7/21/2015	8/1/2015	Y		7/31/2016
AUTOMATIC CONTROL SYSTEMS, INC.		SYS - GATE REPLACEMENT	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
AUTOMOTIVE AUDIO LTD		Expandable Plows	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
BEAR MOUNTAIN INN		BMB 75TH ANNIVERSARY	Completed	Other Professional Services	Authority Contract - Non-Competitive Bid	9/3/2015	9/12/2015	Y		9/12/2015
BROADBAND CONSULTING SERVICES	BA-2012-OA-010-PS	HDQ - FIBER LEASE COMMISSION/VERIZON	Open	Other	Authority Contract - Non-Competitive Bid	3/19/2015	7/29/2015	Y		7/28/2017
BSB CONSTRUCTION , INC.	BA-2015-RE-104-CM.24	MHB - ASBESTOS ABATEMENT	Completed	Design and Construction/Maintenance	Non Contract Procurement/Purchase Order					
CALLANAN INDUSTRIES, INC.	BA-2015-RE-104-CM.38	Pier 1 - Rip-Rap	Completed	Design and Construction/Maintenance	Authority Contract - Non-Competitive Bid	12/19/2013	12/1/2013	Y		12/31/2015
CENTRAL INDUSTRIES, INC		MHB/NBB GUIDE RAIL	Completed	Design and Construction/Maintenance	Non Contract Procurement/Purchase Order					
CLARK EQUIPMENT CO.		NBB - BOBCAT SKID-STEER	Completed	Commodities/Supplies	Purchased Under State Contract					
COMMUNITY CUSTOM SERVICES	BA-2013-OE-114-OT	SYS - STREET SWEEPING SERVICES	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	11/1/2013	11/1/2015	Y		12/31/2015
CRAFCO INC.		SYS - HIGHWAY REPAIR MATERIALS	Completed	Commodities/Supplies	Purchased Under State Contract					
CSX TRANSPORTATION INC.	BA-12-RE-101-RR	NBB - SOUTH SPAN DECK REPLACEMENT-FLAGGING	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	6/20/2013	6/20/2013	Y		11/30/2015
CUMMINS-ALLISON CORPORATION		JETSORT COIN COUNTING MACHINE	Open	Commodities/Supplies	Purchased Under State Contract					
D & D AUTO SUPPLY, INC.		MHB - VEHICLE REPAIRS	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
DEERE & COMPANY		MHB - COMPACT 4WD TRACTOR	Completed	Commodities/Supplies	Purchased Under State Contract					
DEERPARK 1 ENVIRONMENTAL SERVICES, INC.	BA-2015-RE-007-ES	SYS - AIR & NOISE MONITORING	Completed	Other Professional Services	Authority Contract - Competitive Bid	6/24/2015	6/24/2015	Y		6/31/2015
DELL MARKETING L.P.		SYS - LICENSING RENEWALS	Completed	Other	Purchased Under State Contract					
DENOVO	BA-2015-RA-004-PS	SOTWARE CONSULTING SERVICES	Open	Technology - Consulting/Development or Support	Authority Contract - Non-Competitive Bid	12/17/2015	12/17/2015	Y		12/31/2015
DUNBAR ARMORED	BA-12-OA-001-OT	SYS - ARMORED COURIER SERVICES (2012-2013)	Open	Other Professional Services	Authority Contract - Competitive Bid	1/1/2012	1/1/2012	1/1/2016	Y	1/31/2017
EARTHKIND ENERGY	BA-2015-OE-005-PS	GREEN ENERGY CONSULTING SVCS.	Completed	Consulting Services	Authority Contract - Non-Competitive Bid	8/18/2015	8/18/2015	Y		11/30/2015
EJ WARD		FUEL TAGS	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
EN POINTE TECHNOLOGY		SYMANTEC MAINT. AGREEMENT	Open	Other	Non Contract Procurement/Purchase Order					
FIRE RESEARCH CORPORATION		MHB/KRB TOLL EQUIPMENT PARTS/SUPPLIES	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
FLIR COMMERCIAL SYSTEMS INC	BA-2014-RE-112-AC	RVW - CAMERA REPAIR	Completed	Other	Non Contract Procurement/Purchase Order					
FRANCISCO EQUIPMENT		Sandblasting Unit - RVW	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
GCF, INC.		TOUCHSCREEN MONITOR REPAIRS	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
GLOBAL MONTELLO GROUP CORP.		MHB - UNLEADED GASOLINE	Open	Commodities/Supplies	Purchased Under State Contract					
GLOBAL MONTELLO GROUP CORP.		BMB - UNLEADED GASOLINE	Open	Commodities/Supplies	Purchased Under State Contract					
GLOBAL MONTELLO GROUP CORP.		KRB - UNLEADED GASOLINE	Open	Commodities/Supplies	Purchased Under State Contract					
GLOBAL MONTELLO GROUP CORP.		NBB - UNLEADED GASOLINE	Open	Commodities/Supplies	Purchased Under State Contract					
GLOBAL MONTELLO GROUP CORP.		RVW - UNLEADED GASOLINE	Open	Commodities/Supplies	Purchased Under State Contract					
GLOBAL MONTELLO GROUP CORP.		BMB - FUEL OIL	Open	Commodities/Supplies	Purchased Under State Contract					
GREENMAN-PEDERSEN, INC.	BA-2014-RE-102-DE	RVW -SIDEWALK & RAILING REPLA. DESIGN	Open	Design and Construction/Maintenance	Authority Contract - Competitive Bid	10/17/2013	12/1/2013	Y		12/31/2015
HENDERSON FORD		HDQ - 2015 FORD CARGO VAN	Completed	Commodities/Supplies	Purchased Under State Contract					
HERITAGENERGY		SYS - DIESEL FUEL	Open	Commodities/Supplies	Purchased Under State Contract					
HOFFMAN EQUIPMENT		BMB - TRACTOR LOADER	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
HUBER ENTERPRISES, INC.	BA-2015-RE-104-CM.7	RVW - HEAT PUMP REPLACEMENT	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order					

NYS BRIDGE AUTHORITY
Annual Procurement Contracts Report
Fiscal Year Ending December 31, 2015

Contract Expenditures >= \$5,000

* Vendor Name	Transaction Number	* Procurement Description	* Status	* Type of Procurement	* Award Process	Award Date	Begin Date	Renewal Date	Does the contract have an end Date?	End Date
HYB CONSTRUCTION, INC.	BA-2013-RE-101-CM	SYS - BRIDGE STANDBY READINESS	Open	Design and Construction/Maintenance	Authority Contract - Competitive Bid	1/31/2013	1/31/2013		Y	12/31/2016
I.M.U.S., INC.		SYS - LIQUID MAGNESIUM CHLORIDE	Completed	Commodities/Supplies	Purchased Under State Contract					
INTERAGENCY GROUP (IAG)		HDQ - 2015 ANNUAL ASSESSMENT	Completed	Other	Authority Contract - Non-Competitive Bid	1/15/2015	1/1/2015		Y	12/31/2015
JOHN P. KINGSLEY, P.C.		MCCRADY LEGAL FEES	Completed	Legal Services	Non Contract Procurement/Purchase Order					
JOHN P. KINGSLEY, P.C.		MCCRADY LEGAL FEES	Completed	Legal Services	Non Contract Procurement/Purchase Order					
KAPSCH TRAFFICOM IVHS. INC.		SYS - E-ZPASS EQUIPMENT MAINTENANCE	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	11/10/2014	1/1/2015		Y	12/31/2015
KAPSCH TRAFFICOM USA, INC.	BA-2015-RO-001-ES	ITS ENGINEERING CONSULTANT	Open	Consulting Services	Authority Contract - Non-Competitive Bid	3/19/2015	3/19/2015		Y	3/1/2018
KEY SEMINARS		HDQ - MANAGEMENT TRAINING SESSIONS	Completed	Other Professional Services	Authority Contract - Competitive Bid	5/22/2014	5/22/2014		Y	12/31/2016
KINGSTON AUTO SUPPLY		KRB - VEHICLE SUPPLIES	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
KSKA CONSTRUCTION	BA-2012-RE-101-CM	NBB - S. SPAN DECK REPLACEMENT	Completed	Design and Construction/Maintenance	Authority Contract - Competitive Bid	5/21/2015	5/21/2015		Y	12/31/2016
KONICA MINOLTA BUSINESS SOLUTIONS INC.	BA-11-RO-009-IT.3	HDQ - COPIER	Completed	Commodities/Supplies	Purchased Under State Contract					
KRONOS INC.		SYS - SOFTWARE/EQUIPMENT SUPPORT SERVICES	Open	Other Professional Services	Purchased Under State Contract					
LAM ELECTRICAL SUPPLY CO.		RVW/MHB - ELECTRIC SUPPLIES	Completed	Commodities/Supplies	Authority Contract - Competitive Bid	9/2/2015	9/2/2015		Y	12/31/2015
LAWRENCE CIHANEK	BA-2015-RE-104-CM.28	WOTH VEGETATION CONTROL	Completed	Design and Construction/Maintenance	Authority Contract - Competitive Bid	7/7/2015	7/13/2015		Y	9/28/2015
LEAHY COMPANY		WORKERS' COMPENSATION CONSULT.	Completed	Consulting Services	Non Contract Procurement/Purchase Order					
LIGHTOWER FIBER NETWORKS II, LLC		SYS - DECEMBER INTERNET SERVICES	Completed	Other Professional Services	Purchased Under State Contract					
LIGHTOWER FIBER NETWORKS II, LLC		SYS - NOVEMBER INTERNET SERVICES	Completed	Other Professional Services	Purchased Under State Contract					
LIGHTOWER FIBER NETWORKS II, LLC		SYS - OCTOBER INTERNET SERVICES	Completed	Other Professional Services	Purchased Under State Contract					
LIGHTOWER FIBER NETWORKS II, LLC		SYS - SEPTEMBER INTERNET SERVICES	Completed	Other Professional Services	Purchased Under State Contract					
LIGHTOWER FIBER NETWORKS II, LLC		SYS - AUGUST INTERNET SERVICES	Completed	Other Professional Services	Purchased Under State Contract					
LIGHTOWER FIBER NETWORKS II, LLC		SYS - JUNE INTERNET SERVICES	Completed	Other Professional Services	Purchased Under State Contract					
LIGHTOWER FIBER NETWORKS II, LLC		SYS - MAY INTERNET SERVICES	Completed	Other Professional Services	Purchased Under State Contract					
LIGHTOWER FIBER NETWORKS II, LLC		SYS - APRIL INTERNET SERVICES	Completed	Other Professional Services	Purchased Under State Contract					
LIME ENERGY	BA-2015-RE-104-CM.7	RVW - LED STREET LIGHTING	Completed	Design and Construction/Maintenance	Authority Contract - Non-Competitive Bid	5/21/2015	5/21/2015		Y	12/31/2015
LIME ENERGY	BA-2015-RE-104-CM.7	KRB - LED STREET LIGHTING	Completed	Design and Construction/Maintenance	Authority Contract - Non-Competitive Bid	5/21/2015	5/21/2015		Y	12/31/2015
LIME ENERGY	BA-2015-RE-104-CM.18	MHB - LED STREET LIGHTING	Completed	Design and Construction/Maintenance	Authority Contract - Non-Competitive Bid	5/21/2015	5/21/2015		Y	12/31/2015
MARBELITE CO., INC.	BA-2013-OE-106-AC	POWER SUPPLY SHELF SUPPLIES	Completed	Commodities/Supplies	Authority Contract - Non-Competitive Bid	3/19/2015	3/19/2015		Y	12/31/2015
MARLBORO - S.T.T.C.		SYS - VEHICLE REPAIRS	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
MEASUREMENT SPECIALTIES INC.		TOLL EQUIPMENT TREADLES	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
METRO-NORTH RAILROAD	BA-2012-RE-101-MR	RAILROAD FLAGMAN SERVICES	Open	Design and Construction/Maintenance	Authority Contract - Competitive Bid	1/31/2013	1/31/2013		Y	12/31/2015
MODJESKI AND MASTERS INC.	BA-12-RE-101-SU	NBB - SS DECK REPLACEMENT INSPECTION	Open	Design and Construction/Maintenance	Authority Contract - Competitive Bid	6/21/2012	6/25/2012		Y	12/31/2016
MODJESKI AND MASTERS INC.	BA-11-RE-101-ES	SYS - GENERAL CONSULTANT AND INSPECTION	Open	Design and Construction/Maintenance	Authority Contract - Competitive Bid	11/18/2010	11/18/2010		Y	12/31/2016
MORTON SALT INC.		RVW - TYPE II TREATED SALT	Open	Commodities/Supplies	Purchased Under State Contract					
MORTON SALT INC.		KRB - TYPE II TREATED SALT	Open	Commodities/Supplies	Purchased Under State Contract					
MORTON SALT INC.		MHB - TYPE II TREATED SALT	Open	Commodities/Supplies	Purchased Under State Contract					
MORTON SALT INC.		NBB - TYPE II TREATED SALT	Open	Commodities/Supplies	Purchased Under State Contract					
MORTON SALT INC.		BMB - TYPE II TREATED SALT	Open	Commodities/Supplies	Purchased Under State Contract					
MR. ROOTER PLUMBING		BMB - HYDRO SCRUB - SEWER LINE	Completed	Other	Non Contract Procurement/Purchase Order					
NATURAL HERITAGE TRUST		BMB - RESEARCH AND DESIGN SERVICES	Completed	Design and Construction/Maintenance	Non Contract Procurement/Purchase Order					
NEW COMPUTECH		COMPUTER EQUIPMENT	Completed	Technology - Consulting/Development or Support	Non Contract Procurement/Purchase Order					
NEW HORIZONS COMPUTER LEARNING CENTERS		ORACLE TRAINING DATABASE	Completed	Technology - Consulting/Development or Support	Non Contract Procurement/Purchase Order					
NEWBURGH POWER EQUIPMENT		RVW - TURF TIGER 61" SCAG MOWER	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
NYCOMCO		SYS - RADIO REPAIRS	Completed	Telecommunication Equipment or Services	Purchased Under State Contract					
NYS AND LOCAL RETIREMENT SYSTEMS		SYS - PENSION BENEFITS FOR EMPLOYEES	Open	Other	Purchased Under State Contract					
NYS DEPARTMENT OF CIVIL SERVICE		HDQ - 2015 ANNUAL PAYMENT	Completed	Other	Purchased Under State Contract					
NYS THRUWAY AUTHORITY		SYS - E-ZPASS TRANSACTION FEES	Open	Other	Purchased Under State Contract					
ORACLE AMERICA, INC.	BA-2014-OO-106-AC	HDQ - JD EDWARDS LICENSE/MAINT	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	5/21/2015	5/21/2015		Y	12/31/2015

NYS BRIDGE AUTHORITY
Annual Procurement Contracts Report
Fiscal Year Ending December 31, 2015

Contract Expenditures >= \$5,000

* Vendor Name	Transaction Number	* Procurement Description	* Status	* Type of Procurement	* Award Process	Award Date	Begin Date	Renewal Date	Does the contract have an end Date?	End Date
PREMIER AUTO BODY		VEHICLE REPAIRS	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
QnA Tech	BA-11-RO-009-IT.4	LENOVO - X3650 SERVER	Completed	Commodities/Supplies	Purchased Under State Contract					
RAPPORT, MEYERS & WHITBECK		GENERAL COUNSEL	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	3/31/1994	3/31/1994	N		
RISK STRATEGIES COMPANY	BA-11-OA-002-IN	GENERAL LIABILITY INSURANCE	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	6/18/2015	8/1/2015	Y		7/31/2016
RISK STRATEGIES COMPANY	BA-11-OA-003-IN	GENERAL LIABILITY INSURANCE	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	6/18/2015	8/1/2015	Y		7/31/2016
RISK STRATEGIES COMPANY		BRIDGE PROPERTY/LOSS OF REVENUE INSURANCE	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	12/17/2015	1/1/2016	Y		12/31/2016
RISK STRATEGIES COMPANY	BA-2015-OA-003-IN	PROFESSIONAL LIABILITY	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	7/21/2015	8/1/2015	Y		7/31/2016
ROBERT H. FINKE & SONS		EQUIPMENT RENTAL	Completed	Other	Authority Contract - Competitive Bid	10/28/2015	11/18/2015	Y		12/23/2015
ROBERT H. FINKE & SONS		EQUIPMENT RENTAL	Completed	Other	Purchased Under State Contract					
SALERNO BROKERAGE CORP.	BA-15-OA-001-IN	BUSINESS AUTOMOBILE	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	7/21/2015	8/1/2015	Y		7/31/2016
SCHUPP'S LINE CONSTRUCTION, INC.	BA-12-OO-002-IT	SYS - FIBER MAINTENANCE SERVICES	Open	Technology - Consulting/Development or Support	Non Contract Procurement/Purchase Order					
SHERWIN-WILLIAMS CO.	BA-15-RE-104-CM.36	NBB - PAINT	Completed	Commodities/Supplies	Authority Contract - Competitive Bid	8/25/2015	8/25/2015	Y		12/31/2015
SHERWIN-WILLIAMS COMPANY	BA-15-OE-009-AC	SYS - PAINT	Completed	Commodities/Supplies	Authority Contract - Competitive Bid	5/21/2015	5/26/2015	Y		12/31/2015
SHI INTERNATIONAL CORP.		HDQ - RENEWAL RED HAT ENTERPRISE	Open	Commodities/Supplies	Purchased Under State Contract					
SPIDER, A DIVISION OF SAFEWORKS, LLC		RVW - SPIDER REPAIRS	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order					
SUPERINTENDENT OF STATE POLICE		MHB - TRAFFIC PATROL	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	12/17/2015	1/1/2016	Y		12/31/2016
THE BANK OF NEW YORK MELLON		TRUSTEE - BOND RESOLUTION - 1997, 2002 BONDS	Open	Other Professional Services	Purchased Under State Contract					
THE STATE INSURANCE FUND		WORKERS' COMPENSATION INSURANCE	Open	Other Professional Services	Purchased Under State Contract					
TOSKI & CO., P.C.		HDQ - 2015 YEAR-END FINANCIAL STATEMENT AUDIT	Completed	Other Professional Services	Authority Contract - Competitive Bid	4/16/2015	1/31/2016	Y		4/15/2016
TRAFFIC LANE CLOSURES, LLC		SYS - VARIABLE MESSAGE SIGNS	Open	Commodities/Supplies	Non Contract Procurement/Purchase Order					
TRAFFIC TECHNOLOGIES, INC.	BA-14-OO-106-AC	PLAZA SERVER UPGRADE	Completed	Technology - Consulting/Development or Support	Authority Contract - Non-Competitive Bid	11/20/2014	11/20/2014	Y		12/31/2015
TRAFFIC TECHNOLOGIES, INC.	BA-15-00-012-PS	TOLL SYSTEM MAINTENANCE	Open	Technology - Consulting/Development or Support	Authority Contract - Non-Competitive Bid	8/18/2015	8/18/2015	Y		12/31/2015
TRANSCOM	BA-2012-OA-005-OT	HDQ - 2015 ANNUAL ASSESSMENT	Completed	Other Professional Services	Authority Contract - Non-Competitive Bid	1/19/2015	1/19/2015	Y		12/31/2015
TRANSCOM		HDQ - 2015 OPEN REACH ASSESSMENT	Completed	Other Professional Services	Authority Contract - Non-Competitive Bid	8/18/2015	8/18/2015	Y		12/31/2015
TRANSCO INDUSTRIES, INC.		KRB - HWY GUIDE RAIL PARTS	Completed	Commodities/Supplies	Non Contract Procurement/Purchase Order					
TRONCONI SEGARRA & ASSOCIATES		HDQ - INTERNAL AUDITOR	Completed	Other Professional Services	Authority Contract - Competitive Bid	4/16/2015	12/31/2015	Y		12/31/2015
USI CONSULTING GROUP		VALUATION SVCS GASB 45	Completed	Consulting Services	Non Contract Procurement/Purchase Order					
VAISALA INC.	BA-2015-RE-004.CM	SYS - WEATHERSTATION	Open	Design and Construction/Maintenance	Authority Contract - Non-Competitive Bid	3/19/2015	3/19/2015	Y		12/31/2016
VAN BORTEL FORD, INC.		SYS - FORD F250 PURCHASE	Completed	Commodities/Supplies	Purchased Under State Contract					
WESTCHESTER COUNTY HEALTH CARE CORP.	BA-14-OA-001-PS	SYS - OCCUPATIONAL HEALTH SERVICE	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	7/21/2015	5/1/2015	Y		4/20/2016
WILSON, ELSER, MOSKOWITZ, EDELMAN & DICK		HDQ - GOVERNMENT AFFAIRS SERVICES	Open	Other Professional Services	Authority Contract - Non-Competitive Bid	9/17/2015	9/18/2015	Y		9/18/2016
YORK RISK SERVICES GROUP, INC.		HDQ - CLAIM SERVICE FEES	Completed	Other Professional Services	Authority Contract - Non-Competitive Bid	12/1/2015	12/1/2015	Y		12/31/2015

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	126,835				NYS	N							10 PETRA LANE		ALBANY	NY	12205		USA
58,025	58,009	58,009	16		NYS	N	N				\$58,025		7625 OMNITECH PLACE	SUITE 7A	VICTOR	NY	14564		USA
	11,870				NYS	Y							2 GOVERNOR LANE	SUITE 203	SARATOGA SPRINGS	NY	12866		USA
	10,837				NYS	N							P. O. BOX 2277	18 LITTLE BRITAIN ROAD	NEWBURGH	NY	12550		USA
	12,337				Foreign	N							297 WEST ROAD		CLARKSBURG	MA	2147		USA
	19,742				Foreign	N							531 OLDE SWEDE ROAD		DOUGLASVILLE	PA	19518		USA
1,272,618	180,555	1,263,889	8,729		NYS	N	Y	1			\$1,272,618		96 MORTON STREET		NEW YORK	NY	10014	3309	USA
	9,925				NYS	Y							747 PIERCE ROAD		CLIFTON PARK	NY	12065		USA
	5,702				Foreign	N							4303 WEST 1ST STREET		DULUTH	MN	55807		USA
5,271	5,271	5,271	0		NYS	N	N				\$5,271		228 GLEN STREET	P.O. BOX 190	GLENS FALLS	NY	12801		USA
34,910	34,910	34,910	0		NYS	N	N				\$34,910		228 GLEN STREET	P.O. BOX 190	GLENS FALLS	NY	12801		USA
	54,866				NYS	N							PO BOX 28948		NEW YORK	NY	10087	8948	USA
	0				NYS	N							ROUTE 52 AND UNION AVE		NEWBURGH	NY	12550		USA
5,230	5,230	5,230	0		NYS	N	N				\$5,230		P.O. BOX 351		BEAR MOUNTAIN	NY	10911		USA
55,403	55,403	55,403	0		NYS	N	N				\$55,403		P.O. BOX 3068		POUGHKEEPSIE	NY	12603		USA
	9,303				NYS	N							86 WASHINGTON STREET		POUGHKEEPSIE	NY	12601		USA
10,571	10,387	10,387	184		NYS	N	N				\$10,571		BOX 15097	1245 KINGS ROAD	ALBANY	NY	12212	5097	USA
	9,600				NYS	N							142 GAILMOR DRIVE		YONKERS	NY	10710		USA
	33,896				Foreign	N							PO BOX 6000		WEST FARGO	ND	58078		USA
45,540	30,120	30,120	15,421		NYS	N	N				\$45,540		24 SOUTH CROSS RD		STAATSBURGH	NY	12550		USA
	7,538				Foreign	N							420 N. ROOSEVELT AVENUE		CHANDLER	AZ	85226		USA
158,029	32,458	88,718	69,311		Foreign	N	N				\$158,029		PO BOX 116651		ATLANTA	GA	30368	6651	USA
	10,460				Foreign	N							P.O. BOX 339		MT. PROSPECT	IL	60056		USA
	11,482				NYS	N							ROUTE 9W	PO BOX 877	HIGHLAND	NY	12528		USA
	33,002				Foreign	N							2000 JOHN DEERE RUN		CARY	NC	27513		USA
6,900	6,900	6,900	0	4	NYS	Y	Y	1					243 SHINHOLLOW ROAD		PORT JERVIS	NY	12771		USA
	38,631				Foreign	N							P. O. BOX 643561		PITTSBURGH	PA	15264	3561	USA
86,400	75,863	75,863	10,538		Foreign	N	Y	0			\$86,400		400 BARRANCA PARKWAY	SUITE 250	IRVINE	CA	92604		USA
150,150	34,234	138,199	11,951	1	Foreign	N	Y	0					50 SCHILLING ROAD		HUNT VALLEY	MD	21031		USA
42,035	5,850	5,850	36,185		NYS	N	Y	0			\$42,035		PO BOX 812	110 LONG POND ROAD	RHINEBECK	NY	12572		USA
	13,294				Foreign	N							PO BOX 17888		SAN ANTONIO	TX	78217		USA
	5,527				Foreign	N							18701 S. FIGUEROA STREET		GARDENA	CA	90248	4506	USA
	7,430				NYS	N							26 SOUTHERN BLVD		NESCONSET	NY	11767		USA
	7,505				Foreign	N							70 CASTILIAN DRIVE		GOLETA	CA	93117		USA
	5,482				NYS	Y							2154 Route 9W	PO Box 279	Ravena	NY	12143 0279		USA
	32,938				Foreign	N							119 ROCKLAND AVENUE		NORTHVALE	NJ	7647		USA
	73,835				NYS	N							800 SOUTH STREET		WALTHAM	MA	2454		USA
	73,835				NYS	N							800 SOUTH STREET		WALTHAM	MA	2454		USA
	73,835				NYS	N							800 SOUTH STREET		WALTHAM	MA	2454		USA
	73,835				NYS	N							800 SOUTH STREET		WALTHAM	MA	2454		USA
	73,835				NYS	N							800 SOUTH STREET		WALTHAM	MA	2454		USA
	0				NYS	N							800 SOUTH STREET		WALTHAM	MA	2454		USA
268,000	58,520	193,248	74,752	2	NYS	N	Y	0					80 WOLF ROAD	SUITE 300	ALBANY	NY	12205		USA
	26,521				NYS	Y							810 RIDGE ROAD		WEBSTER	NY	14580		USA
	27,974				NYS	N							P.O. BOX 797		LAKE KATRINE	NY	12449 0797		USA
	73,196				NYS	N							1440 ROUTE 9W		MARLBORO	NY	12542		USA
	10,161				NYS	N							30 BROWNS CROSSINGS		CATSKILL	NY	12414		USA

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994,000	162,491	919,716	74,284	2	NYS	Y	N						PO BOX 662		HARRIMAN	NY	10926		USA
	7,708				Foreign	N							BOX 347202		PITTSBURGH	PA	15251		USA
17,500	17,500	17,500	0		Foreign	N	N				\$17,500		PO BOX 67676		HARRISBURG	PA	17106		USA
	5,624				NYS	N							329 MAIN STREET		CATSKILL	NY	12414		USA
	10,309				NYS	N							329 MAIN STREET		CATSKILL	NY	12414		USA
5,279	5,279	5,279	0		Foreign	N	N				\$5,279		8201 GREENSBORO DRIVE	SUITE 1002	MCLEAN	VA	22102		USA
97,804	51,260	51,260	46,544		Foreign	N	N				\$97,804		2855 PREMIERE PARKWAY	SUITE F	DULUTH	GA	30097		USA
10,264	10,264	10,264	0	6	NYS	Y	Y	1					246 HUDSON TERRACE		PIERMONT	NY	10968		USA
	7,079				NYS	N							561-569 BROADWAY		KINGSTON	NY	12401		USA
94,705,076	32,320,901	91,619,346	3,085,730	10	NYS	N	Y	0					43-10 11TH STREET		LONG ISLAND CITY	NY	11101		USA
	7,632				NYS	N							DEPT. AT 952823		ATLANTA	GA	31192	2823	USA
	8,287				Foreign	N							PO BOX 845748		BOSTON	MA	2284	5748	USA
8,067	8,067	8,067	0	3	NYS	Y	Y	1					P.O. BOX 429		GOSHEN	NY	10924	0429	USA
10,440	8,830	8,830	1,610	3	NYS	N	N						56 SLATE QUARRY ROAD		RHINEBECK	NY	12528		USA
	7,839				NYS	N							53 SOUTH MOGER AVENUE		MOUNT KISCO	NY	10549	2211	USA
	10,250				NYS	N							PO BOX 29860		NEW YORK	NY	10087	9860	USA
	10,250				NYS	N							PO BOX 29860		NEW YORK	NY	10087	9860	USA
	10,250				NYS	N							PO BOX 29860		NEW YORK	NY	10087	9860	USA
	10,250				NYS	N							PO BOX 29860		NEW YORK	NY	10087	9860	USA
	10,250				NYS	N							PO BOX 29860		NEW YORK	NY	10087	9860	USA
	10,250				NYS	N							PO BOX 29860		NEW YORK	NY	10087	9860	USA
	10,250				NYS	N							PO BOX 29860		NEW YORK	NY	10087	9860	USA
	10,250				NYS	N							PO BOX 29860		NEW YORK	NY	10087	9860	USA
10,985	10,985	10,985	0		Foreign	N	N				\$10,985		DEPT. 20-7016	P.O. BOX 5997	CAROL STREAM	IL	60197	5997	USA
25,135	25,135	25,135	0		Foreign	N	N				\$25,135		DEPT. 20-7016	P.O. BOX 5997	CAROL STREAM	IL	60197	5997	USA
59,223	59,223	59,223	0		Foreign	N	N				\$59,223		DEPT. 20-7016	P.O. BOX 5997	CAROL STREAM	IL	60197	5997	USA
24,830	24,830	24,830	0		Foreign	N	N				\$24,830		1913 ATLANTIC AVENUE	UNIT R2	MANASQUAN	NJ	8736		USA
	6,146				NYS	N							1166 ROUTE 9W		MARLBORO	NY	12542		USA
	27,409				Foreign	N							1000 LUCAS WAY		HAMPTON	VA	23666		USA
218,400	38,600	38,600	179,800	0	NYS	N	N						PO BOX 4083	GRAND CENTRAL STATION	NEW YORK	NY	10163		USA
5,371,899	1,785,549	4,276,912	1,094,987	7	NYS	N	Y	1					100 STERLING PARKWAY	SUITE 302	MECHANICSBURG	PA	17050		USA
5,200,000	426,918	742,282	4,457,718	3	NYS	N	Y	1					100 STERLING PARKWAY	SUITE 302	MECHANICSBURG	PA	17050		USA
	10,276				NYS	N							123 NORTH WACKER DRIVE		CHICAGO	IL	60606		USA
	14,802				NYS	N							123 NORTH WACKER DRIVE		CHICAGO	IL	60606		USA
	35,833				NYS	N							123 NORTH WACKER DRIVE		CHICAGO	IL	60606		USA
	31,772				NYS	N							123 NORTH WACKER DRIVE		CHICAGO	IL	60606		USA
	14,573				NYS	N							123 NORTH WACKER DRIVE		CHICAGO	IL	60606		USA
	6,124				NYS	N							PO BOX 1740		PLEASANT VALLEY	NY	12569		USA
	12,292				NYS	N							625 BROADWAY		ALBANY	NY	12207		USA
	14,576				NYS	N							39 BROADWAY	SUITE 1630	NEW YORK	NY	10006		USA
	6,000				NYS	N							290 MADISON AVENUE		NEW YORK	NY	10017		USA
	12,610				NYS	N							988 LITTLE BRITAIN RD		NEW WINDSOR	NY	12553		USA
	6,414				NYS	N							53 WEST CEDAR STREET		POUGHKEPSIE	NY	12601		USA
	1,678,527				NYS	N							110 STATE STREET		ALBANY	NY	12244		USA
	21,025				NYS	N							80 SOUTH SWAN STREET	ROOM 804	ALBANY	NY	12239		USA
	3,808,535				NYS	N							200 SOUTHERN BOULEVARD	P.O. BOX 189	ALBANY	NY	12201	0189	USA
80,765	80,765	80,765	0		Foreign	N	Y	0			\$80,765		PO BOX 203448		DALLAS	TX	75320	3448	USA

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	5,183				NYS	N							181 NORTH ROAD BUILDING 4		HIGHLAND	NY	12528		USA
	45,542				NYS	Y							P.O. BOX 732		MOUNT SINAI	NY	11766		USA
167,084	167,084	167,084	0		NYS	N	N				\$167,084		436 UNION STREET		HUDSON	NY	12534		USA
132,345	132,184	132,184	162		Foreign	N	N				\$132,345		160 FEDERAL STREET		BOSTON	MA	2110		USA
142,829	142,829	142,829	0		Foreign	N	N				\$142,829		160 FEDERAL STREET		BOSTON	MA	2110		USA
854,130	854,130	854,130	0		Foreign	N	N				\$854,130		160 FEDERAL STREET		BOSTON	MA	2110		USA
4,641	4,641	4,641	0		Foreign	N	N				\$4,641		160 FEDERAL STREET		BOSTON	MA	2110		USA
4,176	5,399	5,399	0	3	NYS	N	N						1569 RT. 9W		SELKIRK	NY	12158		USA
	5,399				NYS	N							1569 RT. 9W		SELKIRK	NY	12158		USA
68,848	68,848	68,848	0		NYS	N	N				\$68,848		117 OAK DRIVE		SYOSSET	NY	11791		USA
	75,110				NYS	N							PO BOX 13655		ALBANY	NY	12205		USA
8,299	5,353	5,353	2,946	3	NYS	N	N						1404 ROUTE 300		NEWBURGH	NY	12550		USA
28,545	28,545	28,545	0	5	NYS	N	N						1404 ROUTE 300		NEWBURGH	NY	12550		USA
	7,154				Foreign	N							P.O. BOX 952121		DALLAS	TX	75395	2121	USA
	7,210				Foreign	N							PO BOX 1450		MINNEAPOLIS	MN	55485	5547	USA
95,000	87,514	87,514	7,486		NYS	N	N				\$95,000		200 SOUTHERN BOULEVARD		ALBANY	NY	12201		USA
	5,250				Foreign	N							P.O. BOX 392013		PITTSBURGH	PA	15251	9013	USA
	509,344				NYS	N							P.O. BOX 5238		NEW YORK	NY	10008	5238	USA
16,900	16,900	16,900	0	0	NYS	N	N						6390 MAIN STREET	SUITE 200	WILLIAMSVILLE	NY	14221		USA
	60,360				NYS	Y							PO BOX 726		BREWSTER	NY	10509		USA
28,000	28,000	28,000	0		NYS	N	N				\$28,000		16 COVE ROAD		RHINEBECK	NY	12572		USA
100,000	12,075	12,075	87,925		NYS	N	N				\$100,000		16 COVE ROAD		RHINEBECK	NY	12572		USA
134,560	134,560	134,560	0		Foreign	N	N				\$134,560		111 TOWN SQUARE PLACE	SUITE 605	JERSEY CITY	NJ	7310	1755	USA
15,266	15,266	15,266	0		Foreign	N	N				\$15,266		111 TOWN SQUARE PLACE	SUITE 605	JERSEY CITY	NJ	7310	1755	USA
	8,973				Foreign	N							P.O. BOX 404		BRATTLEBORO	VT	5302 0404		USA
21,100	15,067	15,067	6,033	0	NYS	N	N						8321 MAIN STREET		WILLIAMSVILLE	NY	14221		USA
	8,595				Foreign	N							95 GLASTONBURY BOULEVARD		GLASTONBURY	CT	6033		USA
99,333	99,333	99,333	0		Foreign	N	N				\$99,333		10-D GILL STREET		WOBURN	MA	1801		USA
	132,337				NYS	N							71 MARSH RD		EAST ROCHESTER	NY	14445		USA
45,402	45,402	45,402	0		NYS	N	N				\$45,402		1 WEBSTER AVENUE	SUITE 400	POUGHKEEPSIE	NY	12601		USA
36,200	36,200	36,200	0		NYS	N	N				\$36,200		677 BROADWAY	9TH FLOOR	ALBANY	NY	12207	2996	USA
31,509	31,509	31,510	0		Foreign	N	N				\$31,509		99 CHERRY HILL ROAD	SUITE 102	PARSIPPANY	NJ	7054		USA

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, the New York State Bridge Authority is an active member of the International Bridge, Tunnel and Turnpike Association (IBTTA); and,

WHEREAS, the Authority has received an invoice for its annual IBTTA membership dues for the year 2016 in the amount of \$24,667.00; now therefore

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority approves payment in the amount of \$24,667.00 for the Authority's 2016 IBTTA membership dues; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, the E-ZPass Interagency Group (the "IAG") has proposed the creation of a service corporation for administrative convenience; now therefore

BE IT RESOLVED the New York State Bridge Authority does hereby authorize the Executive Director or his designee to authorize the IAG Executive Management Committee to approve the organization of the IAG Service Corp. as a Delaware not-for-profit corporation, the members of which are to be the members of the E-ZPass Interagency Group (the "IAG"); and

BE IT FURTHER RESOLVED that the execution of the Administrative Services Agreement by and between IAG Service Corp. and Triborough Bridge and Tunnel Authority, as Host acting for the benefit of the IAG, is hereby approved; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March 2016.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, the Board of Commissioners approved Contract #BA-2014-0A-001-PS beginning May 1, 2014 for The WorkPlace to provide employee health and evaluation services, with a provision that allows both parties to extend the annual agreement for three additional one-year renewal periods; and

WHEREAS, the current contract for service between The WorkPlace and NYSBA for occupational health services expires on April 30, 2016; and

WHEREAS, the Authority desires to extend this agreement with The WorkPlace for the period of May 1, 2016 through April 30, 2017, representing the second of three potential annual renewals; now therefore

BE IT RESOLVED, the Board of Commissioners of the New York State Bridge Authority authorize the annual renewal of the WorkPlace contract amount not to exceed \$45,000.00; and,

BE IT RESOLVED FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: _____

Resolution Date: _____

WHEREAS, proposals were solicited through the New York State Contract Reporter for the contract entitled "System-Wide General Electronic Data Processing Consultant (GEDPC)" as (BA-2016-OO-106-PS) and twenty-two (22) requests for the proposal package were received with one (1) cost proposal received from Dr. Theodore Mankovich on February 11, 2016; and

WHEREAS, the Authority has reviewed Dr. Mankovich's all-inclusive cost proposal of \$140.00 per hour; and

WHEREAS, Dr. Theodore Mankovich has a great familiarity with the Authority's toll system as he has previously served in the position of GEDPC for the past 25 years; now therefore

BE IT RESOLVED that the Board of Commissioners of the New York State Bridge Authority award a three (3) year Professional Services Agreement to Dr. Theodore Mankovich of Guilford, Connecticut to serve as the Authority's General Electronic Data Processing Consultant at a not-to-exceed cost of \$50,000.00; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: _____

Resolution Date: _____

WHEREAS, the New York State Bridge Authority has determined that it is in the public interest that an agreement be issued for the upgrading of the fiber network and PLC at the Newburgh-Beacon Bridge (BA-2016-RE-111-DB); and

WHEREAS, the Authority has determined that our System-Wide Intelligent Transportation System Engineering Consultant, Kapsch Trafficom USA, Inc., under their current agreement, is uniquely qualified for this assignment; and

WHEREAS, the Authority's Engineering department has reviewed and approved their cost proposal; now therefore

BE IT RESOLVED that the Board of Commissioners of the New York State Bridge Authority award a Supplemental Agreement to Kapsch Trafficom USA, Inc., of Secaucus, New Jersey to provide design build services at a not-to-exceed cost of \$487,588.00; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary



**Bridge
Authority**

"ENGINEERING JOBS IN PROGRESS"

COST SUMMARY

February 29, 2016

Prepared
March 4, 2016

<u>BRIDGE FACILITY</u>	<u>SUMMARY</u>				Remarks (Added or Removed)
	Original Award (\$)	Revised Contract (\$)	Revised Prior Month (\$)	Contract Balance (\$)	
RIP VAN WINKLE BRIDGE	\$ 5,778,933	\$ 5,778,933	\$ 5,778,933	\$ 5,577,983	
KINGSTON-RHINECLIFF BRIDGE	\$ 158,825	\$ 158,825	\$ 158,825	\$ 158,825	
WALKWAY OVER THE HUDSON	\$ 2,585,717	\$ 2,605,717	\$ 2,605,717	\$ 2,528,174	
MID-HUDSON BRIDGE	\$ 797,427	\$ 797,427	\$ 797,427	\$ 793,127	
NEWBURGH-BEACON BRIDGES	\$ 1,008,618	\$ 1,008,618	\$ 1,008,618	\$ 994,751	
BEAR MOUNTAIN BRIDGE	\$ 317,017	\$ 317,017	\$ 317,017	\$ 316,531	
MULTI-FACILITY PROJECTS	\$ 492,250	\$ 492,250	\$ 492,250	\$ 492,250	
TOTALS	\$ 11,138,787	\$ 11,158,787	\$ 11,158,787	\$ 10,861,641	

Rip Van Winkle Bridge

Engineering "Jobs In Progress" for February 29, 2015

BA2014-RE-102
Rip Van Winkle Bridge
Bridge Railing and Sidewalk Replacement

Project No.	Vendor	Award and/or Increase	Sch. Comp	Comp.	Original Award	Board Approved Increase	Revised Contract	Approved Payment to Date	Contract Balance	% Comp.	% Elapsed
2014-102-DE	Greenman Pedersen, inc. (GPI) (Designer) / (Design Support)	10/13	12/15		\$268,000		\$268,000	\$193,248	\$74,752		
2014-102-CM	Piasecki Steel Construction (Contractor)	1/16	12/17		\$5,362,400		\$5,362,400	\$0	\$5,362,400	0%	0%
	NYSBA (In-House Construction Supervision)										

Description of Work:

The work for this project consists of the replacement of the existing bridge sidewalk with precast units, removal and replacement of bridge traffic railing and sidewalk railing.

Comments:

The contract with Piasecki Steel Construction has been executed and a preconstruction meeting was held. The contractor began taking field measurements for fabrication of the new precast sidewalk and railings. Their project schedule indicates that job-site mobilization will begin in May.

Kingston-Rhinecliff Bridge

"ENGINEERING JOBS IN PROGRESS"
KINGSTON-RHINECLIFF BRIDGE
SUMMARY

PROJECT BA #	CONSULTANT/ CONTRACTOR	PROJECT DESCRIPTION	AWARD DATE	CAPITAL IMPROV. APPROVAL DATE	ORIGINAL AWARD OR BUDGET \$	REVISED CONTRACT \$	CONTRACT BALANCE	COMMENTS
16-RE-104-CM.8	In-House	Not Assigned		16-Feb	\$ -	\$ -	\$ -	
16-RE-104-CM.9	In-House	Biennial Bridge Insp. Support - 2017		16-Feb	\$ -	\$ -	\$ -	
16-RE-104-CM.10	In-House	East Approach Drainage Improvements		16-Feb	\$ 20,077	\$ 20,077	\$ 20,077	
16-RE-104-CM.11	In-House	Office and Garage Bldg Renovations		16-Feb	\$ 13,902	\$ 13,902	\$ 13,902	
16-RE-104-CM.12	In-House	Bridge Painting Upgrades		16-Feb	\$ 62,684	\$ 62,684	\$ 62,684	
16-RE-104-CM.14	In-House	Bridge Safety Upgrades		16-Feb	\$ 32,804	\$ 32,804	\$ 32,804	
16-RE-104-CM.14	In-House	Plug Joint Repairs / Roadway Repairs		16-Feb	\$ 21,112	\$ 21,112	\$ 21,112	
16-RE-104-CM.15	In-House	Energy Saving Upgrades		16-Feb	\$ 8,247	\$ 8,247	\$ 8,247	
16-RE-107-CM.7A	In-House	Security Cameras, etc.		16-Feb	\$ -	\$ -	\$ -	
TOTALS \$ 158,825 \$ 158,825 \$ 158,825								

Walkway over the Hudson

**"ENGINEERING JOBS IN PROGRESS"
WALKWAY-OVER-THE-HUDSON
SUMMARY**

PROJECT BA #	CONSULTANT/ CONTRACTOR	PROJECT DESCRIPTION	AWARD DATE	CAPITAL IMPROV. APPROVAL DATE	ORIGINAL AWARD OR BUDGET \$	REVISED CONTRACT \$	CONTRACT BALANCE	COMMENTS
16-RE-104-CM.28	In-House	WOTH - Approach Vegetation Control		16-Feb	\$ 55,476	\$ 55,476	\$ 51,825	
16-RE-104-CM.29	In-House	WOTH - Bridge Safety Upgrades		16-Feb	\$ 14,114	\$ 14,114	\$ 8,152	
16-RE-104-CM.30	In-House	WOTH - Not Assigned		16-Feb	\$ -	\$ -	\$ -	
15-010-DE	Modjeski & Masters, Inc.	WOTH - Railing Rehabilitation, Design	14-Oct		\$ 49,300	\$ 69,300	\$ 1,370	
15-010-CM	L & O.A. Slutzky, Inc.	WOTH - Railing Rehabilitation, Construction	15-Dec		\$ 2,176,827	\$ 2,176,827	\$ 2,176,827	
15-010-SU	Foit-Albert Associates	WOTH - Railing Rehabilitation, Const. Inspection	15-Dec		\$ 250,000	\$ 250,000	\$ 250,000	
15-010-RR	CSXT Railroad	WOTH - Railing Rehabilitation, Flagger	15-Oct		\$ 40,000	\$ 40,000	\$ 40,000	
15-010-MN	Metro North Railroad	WOTH - Railing Rehabilitation, Flagger			\$ -	\$ -	\$ -	\$ To-be-determined
TOTALS					\$ 2,585,717	\$ 2,605,717	\$ 2,528,174	

Engineering "Jobs In Progress" for February 29, 2015

**BA2015-RE-010
Walkway over the Hudson Bridge
Railing Rehabilitation**

Project No.	Vendor	Award and/or Increase	Sch. Comp.	Comp.	Original Award	Board Approved Increase	Revised Contract	Approved Payment to Date	Contract Balance	% Comp.	% Elapsed
15-010-DE	Modjeski & Masters, Inc. (Engineering Study/Design)	10/14 10/15	11/16		\$49,300	\$20,000	\$69,300	\$67,930	\$1,370		
15-014-CM	I & O. A. Slutzky, Inc. (Contractor)	12/15	11/16		\$2,176,827		\$2,176,827	\$0	\$2,176,827	%	%
15-014-SU	Foit-Albert Associates (Construction Inspection)	12/15	11/16		\$250,000		\$250,000	\$0	\$250,000		
15-014-MN	Metro-North – East shoreline (Railroad Flagman Service)		11/16		\$0		\$0	\$00	\$0		
15-014-RR	CSXT – West shoreline (Railroad Flagman Service)	10/15	11/16		\$40,000		\$40,000	\$0	\$40,000		

Description of Work:

At the request of Parks, the Authority & our General Consultant, Modjeski & Masters, performed an investigation of the WOTH precast deck panels beneath the railing posts where several large spalls have developed. Based upon M&M findings it was recommended that the railings be removed and all post anchor bolts be rehabilitated. The scope of work involves railing removal, removal of anchor bolts, removal of un-hardened grout, re-grouting with new anchor bolts as needed, concrete spall repair, epoxy sealing of cracks, removal and replacement of electrical and communication wiring. An agreement has been prepared; in which the Authority will be reimbursed for all cost to administer the project from investigation to final construction.

Comments:

A pre-construction meeting was held early January. Actual construction is anticipated to begin late March with railing removal and resetting being performed at night. Foit-Albert Associates will be providing construction inspection services.

Mid-Hudson Bridge

Engineering "Jobs In Progress" for February 29, 2015

BA2014-RE-105
Mid-Hudson Bridge
Anchorage & Tower Dehumidification

Project No.	Vendor	Award	Start	Sch. Comp.	Comp.	Original Award	Revised Contract	Approved Payment to Date	Contract Balance	% Comp.	% Elapsed
2014-105-CM	Piasecki Steel Construction (Contractor)	12/15	01/16	11/16		\$456,000		\$0	\$456,000	0%	0%
2014-105-DE	Modjeski & Masters, Inc. (Designer)										
	Construction Inspections (In-house)										

Description of Work:

This project consists of work at the Mid-Hudson Bridge anchorage chamber and tower tops in which; the existing dehumidification system is being replaced and the tower system being rehabilitated.

Comments:

The contract has been executed and a preconstruction was held in January. Shop drawings have been submitted. The contractor has started procuring material and equipment for the project. Contract field activities are scheduled to begin in April.

Newburgh-Beacon Bridge

**"ENGINEERING JOBS IN PROGRESS"
NEWBURGH-BEACON BRIDGES
SUMMARY**

PROJECT BA #	CONSULTANT/ CONTRACTOR	PROJECT DESCRIPTION	AWARD DATE	CAPITAL IMPROV. APPROVAL DATE	ORIGINAL AWARD OR BUDGET \$	REVISED CONTRACT \$	CONTRACT BALANCE	COMMENTS
16-RE-104-CM.31	In-House	Electrical & Comm. Cable Tray Installation		16-Feb	\$ 18,671	\$ 18,671	\$ 14,461	
16-RE-104-CM.32	In-House	Roadway Sign Improvements		16-Feb	\$ 6,057	\$ 6,057	\$ 6,057	
16-RE-104-CM.33	In-House	Biennial Br. Inspection Support - 2017		16-Feb	\$ -	\$ -	\$ -	
16-RE-104-CM.34	In-House	LED Street Lighting		16-Feb	\$ 34,899	\$ 34,899	\$ 34,899	
16-RE-104-CM.35	In-House	North Span Overlay Repairs		16-Feb	\$ 51,570	\$ 51,570	\$ 43,983	
16-RE-104-CM.36	In-House	Bridge Painting Upgrades		16-Feb	\$ 66,459	\$ 66,459	\$ 66,459	
16-RE-104-CM.37	In-House	Bridge Safety Upgrades		16-Feb	\$ 24,285	\$ 24,285	\$ 22,216	
16-RE-104-CM.38	In-House	Not Assigned		16-Feb	\$ 84,175	\$ 84,175	\$ 84,175	
16-RE-104-CM.39	In-House	Water System		16-Feb	\$ 13,691	\$ 13,691	\$ 13,691	
16-RE-104-CM.40	In-House	Star Bldg. / Salt Shed Rehab / Ranch House		16-Feb	\$ 12,046	\$ 12,046	\$ 12,046	
16-RE-104-CM.41	In-House	Energy Saving Upgrades		16-Feb	\$ 7,685	\$ 7,685	\$ 7,685	
16-RE-102-DE	Modjeski & Masters, Inc.	Route 9W overpass deck replacement	15-Nov		\$ 382,330	\$ 382,330	\$ 382,330	
16-RE-103-DE	Modjeski & Masters, Inc.	Steel and Deck Repairs	16-Jan		\$ 236,750	\$ 236,750	\$ 236,750	
12-RE-101-CM	Kiska Construction	South Span Deck Replacement	13-Jan		\$ 70,000	\$ 70,000	\$ 70,000	\$94,705,076
TOTALS					\$ 1,008,618	\$ 1,008,618	\$ 994,751	

Engineering "Jobs In Progress" for February 29, 2015

BA2016-RE-102
Newburgh-Beacon Bridge
Rte. 9W / I-84 Overpass Re-Decking & Raising

Project No.	Vendor	Award	Start	Sch. Comp.	Comp.	Original Award	Revised Contract	Approved Payment to Date	Contract Balance	% Comp.	% Elapsed
2016-102-DE	Modjeski & Masters, Inc. (Design / Design Support)	11/15	11/15	12/18		\$382,330		\$0	\$382,330		
2016-102-CM	To-Be-Determined (Contractor)										
2016-102-SU	To-Be-Determined (Construction Supervision)										

Description of Work:

The work for this project consists of the replacement of the existing overpass bridge deck and raising the profile for additional vertical clearance for Rte. 9W. Bid documents shall be available for letting in the Fall of this year. Construction activities shall be performed over 2 construction seasons, 2017 & 2018.

Comments:

A kick-off meeting was held to review the scope of work and discuss preliminary design concepts.

Engineering "Jobs In Progress" for February 29, 2015

BA2016-RE-103

NBB - Steel & Deck Repairs and MHB – Steel Repairs

Project No.	Vendor	Award	Start	Sch. Comp.	Comp.	Original Award	Revised Contract	Approved Payment to Date	Contract Balance	% Comp.	% Elapsed
2016-103-DE	Modjeski & Masters, Inc. (Design / Design Support)	1/16	1/16	12/16		\$236,750		\$0	\$236,750		
2016-103-CM	To-Be-Determined (Contractor)										
2016-103-SU	To-Be-Determined (Construction Supervision)										

Description of Work:

The work for this project consists of the shoring of numerous areas of the NBB North Span deck prior to deck replacement; which is scheduled for 2021. Also from the biennial inspections, steel repairs will be performed via contract at NBB and MHB.

Comments:

The consultant has commenced preliminary design. Project letting is scheduled for April/May.

Bear Mountain Bridge

"ENGINEERING JOBS IN PROGRESS"

BEAR MOUNTAIN BRIDGE

SUMMARY

PROJECT BA #	CONSULTANT/ CONTRACTOR	PROJECT DESCRIPTION	AWARD DATE	CAPITAL IMPROV. APPROVAL DATE	ORIGINAL AWARD OR BUDGET \$	REVISED CONTRACT \$	CONTRACT BALANCE	COMMENTS
16-RE-104-CM.42	In-House	Bridge Flag Hoisting Apparatus		16-Feb	\$ 7,691	\$ 7,691	\$ 7,691	
16-RE-104-CM.43	In-House	Bridge Structural Steel Repairs		16-Feb	\$ 12,037	\$ 12,037	\$ 11,551	
16-RE-104-CM.44	In-House	Biennial Bridge Inspection Support -2016		16-Feb	\$ 18,016	\$ 18,016	\$ 18,016	
16-RE-104-CM.45	In-House	Bridge Painting Upgrades		16-Feb	\$ 63,412	\$ 63,412	\$ 63,412	
16-RE-104-CM.46	In-House	Bridge Safety Upgrades		16-Feb	\$ 5,768	\$ 5,768	\$ 5,768	
16-RE-104-CM.47	In-House	Cable Monitoring		16-Feb	\$ 29,673	\$ 29,673	\$ 29,673	
16-RE-104-CM.48	In-House	Plug Joint		16-Feb	\$ 4,196	\$ 4,196	\$ 4,196	
16-RE-104-CM.49	In-House	Energy Saving Upgrades		16-Feb	\$ 2,124	\$ 2,124	\$ 2,124	
16-RE-107-CM.7A	In-House	Security Cameras, etc.		16-Feb	\$ -	\$ -	\$ -	
16-RE-101-DE.1	Modjeski & Masters	Main Cable Evaluation, Design		15-Nov	\$ 174,100	\$ 174,100	\$ 174,100	
TOTALS					\$ 317,017	\$ 317,017	\$ 316,531	

Engineering "Jobs In Progress" for February 29, 2015

BA2017-RE-101 MHB and BMB Main Cable Investigation & Evaluation

Project No.	Vendor	Award	Start	Sch. Comp.	Comp.	Original Award	Revised Contract	Approved Payment to Date	Contract Balance	% Comp.	% Elapsed
2017-101-DE	Modjeski & Masters, Inc. (MHB - Design)	11/15	11/15	4/17		\$180,800		\$0	\$180,800		
2017-101-DE.1	Modjeski & Masters, Inc. (BMB - Design)	11/15	11/15	4/17		\$174,100		\$0	\$174,100		
2017-101-CM	To-Be-Determined (Contractor)										
2017-101-SU	To-Be-Determined (Construction Supervision)										

Description of Work:

The work for this project consists of the unwrapping and wedging open the main cable to inspect the internal cable strands (1/8" diameter) for potential additional strand breaks. The inspection will also evaluate the corrosion inhibitors previously placed.

Comments:

The design is progressing with a project letting scheduled for this Fall. Construction will be performed in 2017.

Multi-Facility

**"ENGINEERING JOBS IN PROGRESS"
MULTI - FACILITY PROJECTS
SUMMARY**

PROJECT BA #	CONSULTANT/ CONTRACTOR	PROJECT DESCRIPTION	AWARD DATE	CAPITAL IMPROV. APPROVAL DATE	ORIGINAL AWARD OR BUDGET \$	REVISED CONTRACT \$	CONTRACT BALANCE	COMMENTS
15-RE-104-CM.50	In-House	Sys - HD Diesel Fleet Emissions Upgrade		16-Feb	\$ -	\$ -	\$ -	
15-RE-104-CM.51	In-House	Pavement Temperature Monitoring Systems		16-Feb	\$ -	\$ -	\$ -	
15-RE-104-CM.52	In-House	Lighting Retro-fit for Dambach Signs		16-Feb	\$ -	\$ -	\$ -	
16-101-CM	Hudson Valley Bridge (HVB)	Bridge / Highway Repairs (Standby)	15-Dec		\$ 492,250	\$ 492,250	\$ 492,250	
					TOTALS	\$ 492,250	\$ 492,250	\$ 492,250

Engineering "Jobs In Progress" for February 29, 2015

BA2016-RE-101

System-wide

Bridge and Highway Repairs (Standby Readiness)

Project No.	Vendor	Award and/or Increase	Sch. Comp	Comp.	Original Award	Board Approved Increase	Revised Contract	Approved Payment to Date	Contract Balance	% Comp.	% Elapsed
2016-101-CM	Hudson Valley Bridge (HVB) (Contractor)	12/15	12/18		\$452,000		\$452,000	\$0	\$452,000		
2016-101-DE	NYSBA - In House (Designer)										

Description of Work:

The work for this project consists of various steel and/or highway repairs deemed as urgent needs for the Authority. The Contractor will be on-call 24-7 for this assignment.

Comments:

HVB was called in this past month to provide additional deck shoring for the NBB north span.

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.: _____
Resolution Date: _____

WHEREAS, the Board has reviewed the monthly Engineering Progress Report on Capital Project Status; and

BE IT RESOLVED that the Engineering Progress Report is accepted as an instrument documenting the Board's briefing of Capital Construction activities; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March 2016.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY
Investment Report
February 2016

PURCHASE						
Cash Availability Source	Principal Cost	Accrued Interest	Maturity Date	Approximate Yield to Maturity		
Allocation from Revenue Fund	\$939,366.80	\$412.75	06/30/16	0.40%		
Allocation from Revenue Fund	\$2,344,299.30	\$7,815.41	09/15/16	0.51%		
Investment Maturities	\$416,077.62	\$7,657.51	05/15/16	0.09%		
Investment Maturities	\$416,069.92	\$774.38	12/31/18	0.85%		

Fund/Date	U.S. Treasury Obligation
<i>Debt Service</i>	
02/01/16	939,000 Notes 0.500%
<i>Maintenance Reserve</i>	
02/01/16	2,239,000 Notes 0.875%
02/18/16	409,000 Notes 7.250%
<i>Insurance Fund</i>	
02/19/16	410,000 Notes 1.375%

**NEW YORK STATE BRIDGE AUTHORITY
INVESTMENT INVENTORY
FEBRUARY 29, 2016**

INVESTMENTS HELD BY THE TRUSTEE, THE BANK OF NEW YORK

	<u>MATURITY</u>	<u>PRINCIPAL AMOUNT</u>
DEBT SERVICE FUND		
U.S. TREASURY NOTES, 0.50%	06-30-16	939,000
U.S. TREASURY NOTES, 3.25%	06-30-16	930,000
		<u>1,869,000</u>
MAINTENANCE RESERVE FUND		
U.S. TREASURY NOTES, 0.375%	03-15-16	1,231,000
U.S. TREASURY NOTES, 0.25%	04-15-16	1,963,000
U.S. TREASURY NOTES, 7.25%	05-15-16	2,338,000
U.S. TREASURY NOTES, 0.50%	06-15-16	2,196,000
U.S. TREASURY NOTES, 0.625%	07-15-16	1,476,000
U.S. TREASURY NOTES, 0.625%	08-15-16	1,569,000
U.S. TREASURY NOTES, 0.875%	09-15-16	2,339,000
U.S. TREASURY NOTES, 0.625%	10-15-16	2,090,000
U.S. TREASURY NOTES, 7.50%	11-15-16	1,669,000
U.S. TREASURY NOTES, 0.75%	03-15-17	500,000
U.S. TREASURY NOTES, 0.875%	04-15-17	1,000,000
U.S. TREASURY NOTES, 0.875%	05-15-17	2,621,000
U.S. TREASURY NOTES, 0.875%	06-15-17	1,200,000
U.S. TREASURY NOTES, 0.875%	07-15-17	2,496,000
U.S. TREASURY NOTES, 4.75%	08-15-17	3,246,000
U.S. TREASURY NOTES, 1.00%	09-15-17	4,000,000
U.S. TREASURY NOTES, 0.875%	10-15-17	819,000
U.S. TREASURY NOTES, 0.875%	11-15-17	2,047,000
		<u>34,800,000</u>
INSURANCE FUND		
U.S. TREASURY NOTES, 7.50%	11-15-16	6,692,000
U.S. TREASURY NOTES, 2.75%	12-31-17	1,394,000
U.S. TREASURY NOTES, 0.75%	12-31-17	1,134,000
U.S. TREASURY NOTES, 1.375%	12-31-18	818,000
		<u>10,038,000</u>
DEBT SERVICE RESERVE FUND		
U.S. TREASURY NOTES, 3.125%	01-31-17	3,509,000
U.S. TREASURY NOTES, 2.625%	01-31-18	2,637,000
U.S. TREASURY NOTES, 1.375%	12-31-18	1,810,000
U.S. TREASURY NOTES, 1.125%	12-31-19	2,500,000
U.S. TREASURY NOTES, 2.375%	12-31-20	687,000
		<u>11,143,000</u>
REVENUE FUND		
CASH		1,186,693
U.S. TREASURY NOTES, 0.625%	11-15-16	1,300,000
		<u>2,486,693</u>

INVESTMENTS HELD BY BANK OF AMERICA

OPERATING FUND

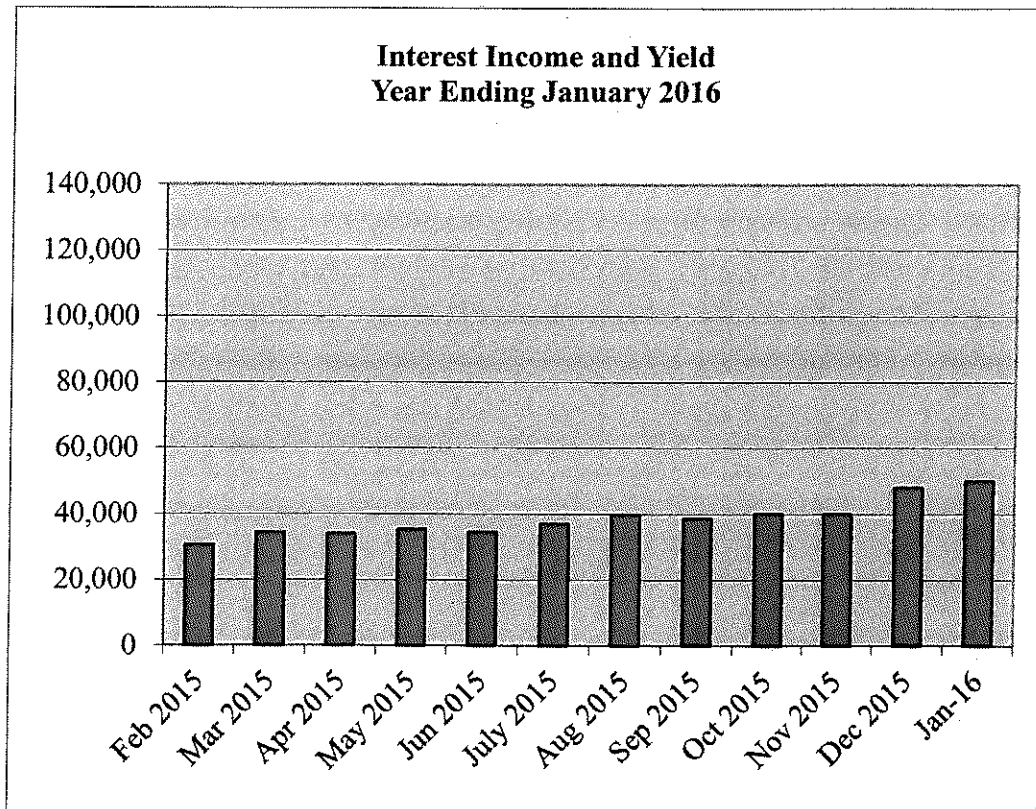
The Authority utilizes zero balance checking accounts to provide for the day-to-day payment of accounts payable and payroll expenses. This means these accounts, which are funded by a Public Funds Interest Checking account, have no balance at the end of each day. On February 29, the Public Funds Interest Checking account totaled \$2,371,813.

REVENUE FUND

These Public Funds Interest Checking accounts hold the daily toll revenues. On February 29, these accounts totaled \$859,853.

**NEW YORK STATE BRIDGE AUTHORITY
INTEREST INCOME AND YIELD
YEAR ENDING JANUARY 2016**

<i>Date Year</i>	<i>Interest Income (\$)</i>	<i>Approximate Yield Percentage</i>
Feb 2015	30,620	0.53%
Mar 2015	34,487	0.53%
Apr 2015	34,024	0.54%
May 2015	35,401	0.55%
Jun 2015	34,649	0.56%
July 2015	37,001	0.61%
Aug 2015	39,818	0.66%
Sep 2015	38,716	0.66%
Oct 2015	40,292	0.65%
Nov 2015	40,148	0.68%
Dec 2015	48,154	0.81%
Jan-16	50,118	0.93%



NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, the investment control procedures for the New York State Bridge Authority provide that the Board shall review and approve the report of investment transactions completed since the meeting of the Board on February 18, 2016; now therefore and,

BE IT RESOLVED, that the Board of Commissioners of the New York State Bridge Authority the New York State Bridge Authority does hereby concur with and approve the investment report as filed with this body on this date for the purpose noted; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

**NEW YORK STATE BRIDGE AUTHORITY
ANNUAL REPORT PER SECTION 2800 OF PUBLIC AUTHORITIES LAW
OPERATIONS, ACCOMPLISHMENTS AND PROJECTS UNDERTAKEN
YEAR ENDED 12/31/15**

The mission of the New York State Bridge Authority is to maintain and operate the vehicle crossings of the Hudson River entrusted to its jurisdiction for the economic and social benefit of the people of the State. The Authority will maintain the vehicular bridges and related infrastructure in good condition for the benefit of the travelling public, provide for long-term financial stability of the Authority, and do so at the lowest cost consistent with long term safety and stability. The Authority will provide for accountability and transparency in its operations.

In 2015, as in previous years, the Authority continued to meet this mission as it operated six superstructures, with a replacement value over \$1.8 billion, together with their ancillary facilities, at one of the lowest tolls in the nation for a self-supporting transportation entity. In fact, since it came into existence 83 years ago, the Authority has raised tolls only three times in its history. In January 2012, the most recent toll schedule revision, the Authority's round-trip base toll was \$1.50 for cash customers and \$1.25 for E-ZPass customers, both lower than the toll charged for the first crossing in 1933.

Maintaining the bridge system, which averages 75 years of age, requires constant monitoring and care. Given the proper maintenance, these spans have an indefinite lifespan. To that end, the Bridge Authority's capital improvement program is driven by annual bridge inspections conducted by independent engineers. Each year three vehicular bridges receive in-depth detailed biennial inspections that meet or exceed the criteria of both federal and state mandatory bridge inspection programs. The inspectors also do a thorough, but less intensive, inspection of the other three vehicular bridges to review the maintenance work done since the last biennial inspection and to identify changing conditions that may require an immediate response.

In 2013, after a public bidding process, the Authority awarded a contract and work commenced on the project to re-deck the South Span of the Newburgh-Beacon Bridge. The project, utilizing a negotiated project labor agreement, was the largest project of its kind in the history of the Authority. In 2015 construction was completed on the project on time and materially on budget. The final cost was \$101.7 million from a projected cost of \$101.6 million or a 0.08% overage. The completed new deck supports the Authority's goal of maintaining its vehicular bridges proactively to allow for an indefinite useful life.

The Authority continues to develop opportunities to generate revenues beyond toll collection to supplement toll revenues. The Authority built fiber optic lines across several of its bridges and has leased excess capacity to third party private entities. The Authority closed five leases in 2015 bringing in \$1.7 million over the coming years. While this program is not large enough to avoid increasing tolls, this type of program has helped supplement reductions in revenue associated with economic cycles.

The Authority began the direct sales of E-ZPass in full service toll lanes in 2013 at each of the toll plazas. The additional convenience of buying a tag at the booth has been very successful with the Authority selling over twenty four thousand tags since inception. While by itself it is difficult to evaluate the incremental E-ZPass utilization attributable to this initiative, the Authority continues to see increased E-ZPass utilization throughout 2015 with overall utilization of 69.8% for the full year.

The single major bridge project expense in 2015 included, as noted above, approximately \$34.1 million expended of an overall \$101.7 million project to re-deck the South Span of the Newburgh-Beacon Bridge.

In 2016, Standard & Poor's affirmed the AA- long term rating on the Authority's outstanding general revenue bonds with a stable outlook. Moody's Investors Service in 2015 assigned an Aa3 rating to the Series 2012 General Revenue Bonds and affirmed the rating for the Aa3 parity bond rating with a stable outlook.

NEW YORK STATE BRIDGE AUTHORITY
 Statements of Net Position
 December 31, 2015 and 2014

Assets:	<u>2015</u>	<u>2014</u>
Current assets:		
Cash and equivalents	\$ 11,506,835	12,681,780
Investments	58,232,419	74,798,655
Accounts receivable	1,883,651	1,942,813
Prepaid expenses	<u>1,442,149</u>	<u>1,858,696</u>
Total current assets	<u>73,065,054</u>	<u>91,281,944</u>
Noncurrent assets:		
Capital assets, net of accumulated depreciation	29,069,438	30,920,566
Bridge system	<u>84,358,269</u>	<u>84,358,269</u>
Total noncurrent assets	<u>113,427,707</u>	<u>115,278,835</u>
Total assets	<u>186,492,761</u>	<u>206,560,779</u>
Deferred outflow of resources - pensions	<u>1,952,161</u>	<u>-</u>
Liabilities:		
Current liabilities:		
Accounts payable and accrued expenses	2,471,382	1,951,604
Accrued wages, payroll taxes and fringe benefits	605,807	681,152
Contracts payable	111,523	3,494,666
Accrued interest on bonds	2,217,750	2,348,625
General revenue bonds, current portion, net	<u>8,985,376</u>	<u>8,297,511</u>
Total current liabilities	<u>14,391,838</u>	<u>16,773,558</u>
Noncurrent liabilities:		
Accrued fringe benefits	25,344,701	22,977,461
Deferred Revenues	437,129	
Net pension liability - proportionate share-ERS	1,288,547	
General revenue bonds, net	<u>105,512,093</u>	<u>114,497,468</u>
Total noncurrent liabilities	<u>132,582,470</u>	<u>137,474,929</u>
Commitments and contingencies (note 13)		
Total liabilities	<u>146,974,308</u>	<u>154,248,487</u>
Invested in capital assets	113,427,707	115,278,835
Restricted for:		
Debt service	18,223,403	18,065,112
Insurance	10,276,400	9,855,786
Construction	-	5,913,182
Maintenance reserve	33,488,088	43,130,938
Unrestricted (deficit)	<u>(133,944,983)</u>	<u>(139,931,561)</u>
Total net position	<u>\$ 41,470,615</u>	<u>52,312,292</u>

NEW YORK STATE BRIDGE AUTHORITY
 Statements of Revenue, Expenses and Changes in Net Position
 Years ended December 31, 2015 and 2014

	<u>2015</u>	<u>2014</u>
Operating revenue:		
Toll revenue	\$ 56,664,917	55,237,486
Other income	701,969	1,032,533
Total operating revenue	<u>57,366,886</u>	<u>56,270,019</u>
Operating expenses:		
Salaries	10,335,872	10,785,606
Employee benefits	7,721,177	7,720,090
Utilities	664,669	781,558
Insurance	1,577,580	1,622,396
Professional services	675,315	641,532
Supplies and materials	241,018	218,646
Equipment expense	131,299	174,463
Maintenance and repairs	726,594	780,284
Rehabilitation, reconstruction and bridge repairs	36,635,359	56,134,619
Electronic toll costs	4,095,046	3,937,958
Depreciation	2,490,608	2,339,507
Other	597,551	580,587
Total operating expenses	<u>65,892,088</u>	<u>85,717,246</u>
Operating loss	<u>(8,525,202)</u>	<u>(29,447,227)</u>
Nonoperating revenue (expenses):		
Interest income	446,350	389,953
Interest and other expenses	(2,722,739)	(3,216,861)
Total nonoperating revenue (expenses)	<u>(2,276,389)</u>	<u>(2,826,908)</u>
Decrease in net position	(10,801,591)	(32,274,135)
Net position:		
Beginning of the year as previously stated	<u>52,272,206</u>	<u>84,586,427</u>
Cumulative effect of change in accounting principle	<u>(40,086)</u>	
Beginning of the year as restated	<u>52,232,120</u>	
End of year	<u>\$ 41,430,529</u>	<u>52,312,292</u>

**NEW YORK STATE BRIDGE AUTHORITY
ANNUAL REPORT PER SECTION 2800 OF PUBLIC AUTHORITIES LAW
SCHEDULE OF DEBT ISSUANCE
YEAR ENDED 12/31/15**

General Revenue Bonds Series 2011

General Revenue Bonds Series 2012

Outstanding 12/31/15	\$20,100,000	\$90,180,000
Redeemed 1/1/15	\$6,435,000	\$145,000
New Debt Incurred	\$0	\$0
Date Issued	12/15/11	4/26/12
Original Issue	\$32,410,000	\$90,325

<u>Due</u>	<u>Principal Amount</u>	<u>Interest Rate</u>	<u>Due</u>	<u>Principal Amount</u>	<u>Interest Rate</u>
2015	6,435,000	4.000%	2015	145,000	3.000%
2016	4,690,000	4.000%	2016	155,000	3.000%
2016	2,000,000	5.000%			
2017	6,975,000	5.000%	2017	160,000	3.000%
			2018	7,450,000	4.000%
			2019	7,745,000	4.000%
			2020	8,055,000	4.000%
			2021	8,380,000	4.000%
			2022	8,710,000	4.000%
			2023	9,060,000	4.000%
			2024	9,425,000	4.000%
			2025	9,800,000	5.000%
			2026	10,290,000	5.000%
			2027	10,805,000	4.000%

- The Series 2011 issuance provided funds solely to defease the Authority's Series 2002 outstanding bonds. The par amount defeased was \$35,535,000. The Authority refinanced the 2002 Bonds on December 15, 2011

NEW YORK STATE BRIDGE AUTHORITY
ANNUAL REPORT PER SECTION 2800 OF PUBLIC AUTHORITIES LAW
COMPENSATION SCHEDULE
YEAR ENDED 12/31/15

<i>Name</i>	<i>*Title</i>	<i>*Group</i>	<i>*Annualized Salary</i>	<i>Health, Dental, Vision Care Insurance</i>	<i>Use of Authority Vehicle</i>	<i>*Total Compensation</i>	<i>*Does the employee also hold another paid State or local government position?</i>
Ruggiero, Joseph	Executive Director	Managerial	\$ 159,000	Yes	Yes	\$ 169,345	No
Wright, Jeff	Chief Engineer	Managerial	142,823	Yes	No	147,856	No
Bushek, Brian	Treasure/Director Of Finance	Managerial	131,477	Yes	No	143,509	No
Fong, George	Manager Of Maintenance	Managerial	127,984	Yes	No	144,034	No
Bellucci, John	Chief Of Staff	Managerial	123,785	Yes	No	129,435	No
Herd, Greg	Director Of IT	Managerial	118,808	Yes	No	137,242	No
Russo, Robert	Director Of Administration	Managerial	118,663	Yes	No	128,068	No
Roberts-Sullivan, Tara	Deputy Executive Director	Managerial	116,000	Yes	No	118,739	No
Smith, Scott	Bridge Manager	CSEA	115,763	Yes	No	126,927	No
Ferguson, Wayne	Director Of Operations	Managerial	115,259	Yes	Yes	126,969	No
Gardner, Craig	Manager Of Maintenance	Managerial	110,315	Yes	Yes	114,224	No
Bielawski, Peter	Manager Of Application Development	CSEA	109,990	Yes	No	120,086	No
Emslie, Scott	Bridge Manager	CSEA	105,414	Yes	No	109,265	No
Pruitt, Dorothy	Bridge Manager	CSEA	105,414	Yes	No	109,362	No
Sullivan, Tara	Bridge Manager	CSEA	105,414	Yes	No	115,793	No
Mazzeila, Frank	Manager Of Toll Systems	Managerial	102,259	Yes	No	134,793	No
Savosky, Michael	Computerized Toll Equipment Specialist	CSEA	100,538	Yes	No	113,415	No

**NEW YORK STATE BRIDGE AUTHORITY
ANNUAL REPORT PER SECTION 2800 OF PUBLIC AUTHORITIES LAW
CODE OF ETHICS
YEAR ENDED 12/31/15**

Purpose. The Authority Code of Ethics mirrors Section 74 of the Public Officers Law which, by statute, covers all Authority officers and employees and incorporates the recommendations of the Authority Budget Office. The purpose of this Code of Ethics is to serve as a guide for official conduct. It is intended to enhance the ethical and professional performance of the Authority's officers and employees and to preserve public confidence in the Authority's mission.

b. Definition. The term "Authority employee" shall mean any member, director, commissioner, officer or employee of the New York State Bridge Authority.

c. Rule with Respect to Conflicts of Interest. No Authority employee should have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity, or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his/her duties in the public interest.

d. Standards.

- i. All Authority employees shall perform their duties with transparency, without favor, and refrain from engaging in outside matters of financial or personal interest. In this regard, no Authority employee shall accept other employment which will impair his/her independence of judgment or prevent the proper exercise of his/her official duties.
- ii. No Authority employee should accept employment or engage in any business or professional activity which will require the employee to disclose confidential information which he/she has gained by reason of his/her official position or authority.
- iii. No Authority employee shall directly or indirectly, make, advise, or assist any person to make any financial investment based upon information available through the employee's official position with the Authority that could create any conflict between the employee's public duties and interests and the employee's private interests. In this regard, no Authority employee shall disclose confidential information acquired by the employee in the course of his/her official duties nor use such information to further his/her personal interests.
- iv. No Authority employee shall use, or attempt to use, his/her official position to secure unwarranted privileges or exemptions for him/herself, members of his/her family, or others, including employment with the Authority or contracts for materials or services with the Authority.
- v. No Authority employee shall engage in any transaction as representative or agent of the Authority with any business entity in which he/she has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his/her official duties.

vi. An Authority employee shall conduct themselves at all times in a manner that avoids any appearance that they can be improperly or unduly influenced, nor shall any Authority employee, by his/her conduct, give reasonable basis for the impression that any person can improperly influence such employee or unduly enjoy his/her favor in the performance of his/her official duties, or that he/she is affected by the kinship, rank, position or influence of any party or person.

vii. An Authority employee shall abstain from making personal investments in enterprises which he/she has reason to believe may be directly involved in decisions to be made by him/her or which will otherwise create substantial conflict between his/her duty in the public interest and his/her private interest.

viii. An Authority employee shall endeavor to pursue a course of conduct which will not raise suspicion among the public that he/she is likely to be engaged in acts that are in violation of his/her public trust.

ix. No Authority employee employed on a full-time basis nor any firm or association of which such an employee is a member nor corporation, a substantial portion of the stock of which is owned or controlled directly or indirectly by such employee, shall sell goods or services to any person, firm, corporation or association which is licensed or whose rates are fixed by the authority in which such employee serves or is employed.

x. If any Authority employee should have a financial interest, direct or indirect, having a value of ten thousand dollars (\$10,000) or more in any activity which is subject to the jurisdiction of a regulatory agency, he/she shall file with the Secretary of State a written statement that he/she has such a financial interest in such activity, which statement shall be open to public inspection.

xi. Authority employees shall not solicit, accept or receive any gift having more than a nominal value, or multiple gifts in one year collectively totaling more than nominal value, whether in the form of money, service, loan, travel, lodging, meals, refreshments, entertainment, discount, forbearance of an obligation or promise to pay, or in any other form. The term "nominal value" is defined here as having a fair market value of fifteen dollars or less. Notwithstanding the foregoing, any gift, regardless of value, that is intended to influence the employee in the performance of official business or is given as an appreciation for any official act by the individual is strictly prohibited and must be immediately declined and returned.

xii. Authority employees shall manage all matters within the scope of the Authority's mission, independent of any other affiliations or employment. Directors, including ex-officio Board members, and employees employed by more than one government shall strive to fulfill their professional responsibility to the Authority without bias and shall support the Authority's mission to the fullest.

xiii. Authority employees shall not use Authority property, including equipment, telephones, vehicles, computers, or other resources, or disclose information acquired in the course of their official duties in a manner inconsistent with State or local law or policy and the Authority's mission and goals.

xiv. Authority employees are prohibited from appearing or practicing before the Authority for two (2) years following employment with the Authority, consistent with the provisions of the Public Officers Law.

e. Implementation of Code of Ethics. This Code of Ethics shall be provided to all Authority employees upon commencement of employment or appointment and shall be reviewed annually by the Governance Committee. The Board may designate an Ethics Officer, who shall report to the Board and shall have the following duties:

- i. Counsel in confidence Authority employees who seek advice about ethical behavior.
- ii. Receive and investigate complaints about possible ethics violations.
- iii. Dismiss complaints found to be without substance.
- iv. Prepare an investigative report of their findings for action by the Executive Director or the Board.
- v. Record the receipt of gifts or gratuities of any kind received by an Authority employee, who shall notify the Ethics Officer within 48 hours of receipt of such gifts and gratuities.

f. Violations. In addition to any penalty contained in any other provision of law, any Authority employee who shall knowingly and intentionally violate any of the provisions of this Code of Ethics may be fined, suspended or removed from office or employment in the manner provided for by applicable law, rules or regulations.

g. Reporting Unethical Behavior. Authority Employees are required to report possible unethical behavior by any Authority employee to the Ethics Officer. Authority employees may file ethics complaints anonymously and are protected from retaliation by the policies adopted by the Authority.

h. NYS Joint Commission on Public Ethics (JCOPE) Outside Activity and Approval Procedures. The Authority has adopted an Outside Activity Policy for all compensated employees. All compensated Authority employees shall seek prior approval before engaging in:

- i. outside employment;
- ii. certain political activity;
- iii. other activities that result in outside compensation as defined in the regulation;
- iv. serving as a director or officer of a for-profit or not-for-profit entity; and,
- v. any activity that may pose a conflict of interest or have the appearance of a conflict of interest with the mission of the Authority.

Depending on the nature of compensation and the employee's position with the Authority, approval of an outside activity by JCOPE may be required.

The Authority Outside Activity Policy is also intended to comply with JCOPE regulation Title 19 NYCRR Part 932 for Authority policy makers and heads of State Agencies.

In compliance with section 932.9, this Code of Ethics shall serve as the code of ethical conduct covering conflicts of interest and business and professional activities, including outside activities for uncompensated and per diem Directors, Members and Officer, and shall be filed with JCOPE.

**NEW YORK STATE BRIDGE AUTHORITY
ANNUAL REPORT PER SECTION 2800 OF PUBLIC AUTHORITIES LAW
REAL PROPERTY
YEAR ENDED 12/31/15**

Facility Name	Replacement Costs * (as of 12/31/15)
Rip Van Winkle Bridge Catskill, NY	Bridge: \$197,709,000 Buildings: \$5,083,366
Kingston-Rhinecliff Bridge Kingston, NY	Bridge: \$272,093,000 Buildings: \$4,780,067
Mid-Hudson Bridge Highland, NY	Bridge: \$274,194,000 (main span) \$ 26,429,000 (approach spans) Buildings: \$4,894,799 (Headquarters bldgs.) \$8,713,854 (MHB buildings)
Newburgh-Beacon Bridge Beacon, NY	Bridge: \$249,540,000 (north span) \$348,030,000 (south span) \$ 7,561,000 (approach spans) Buildings: \$9,418,141
Bear Mountain Bridge Ft. Montgomery, NY	Bridge: \$152,498,000 Buildings: \$6,913,374
Walkway Over The Hudson Poughkeepsie-Highland, NY	Bridge: \$227,414,000

* Does not include the value of the Authority's land.

* The Authority has no plans to dispose of any real property during 2016.

**NEW YORK STATE BRIDGE AUTHORITY
ANNUAL REPORT PER SECTION 2800 OF PUBLIC AUTHORITIES LAW
INTERNAL CONTROL STATEMENT
YEAR ENDED 12/31/15**

This statement certifies that the New York State Bridge Authority followed a process that assessed and documented the adequacy of its internal control structure and policies for the year ending December 2015. To the extent that deficiencies were identified, the Authority has developed corrective action plans to reduce any corresponding risk.

The Authority contracted Tronconi, Segara & Associates as its Internal Auditor who performed their review of the Authority in 2015. Management will take appropriate action to address all deficiencies identified (if any) within the Internal Auditor's report prior to the next year end. The report can be viewed on the Authority's website at <http://www.nysba.net/Index%20Page/FinanceReports.html>

Based on this assessment and representation, management feels that it is in compliance with the requirements of Public Authorities Law Section 2800.

**NEW YORK STATE BRIDGE AUTHORITY
ANNUAL REPORT PER SECTION 2800 OF PUBLIC AUTHORITIES LAW
AUTHORITY MEASUREMENT REPORT
YEAR ENDED 12/31/15**

The results of the Board adopted performance measurements for the year ended December 31, 2015 are as follows:

1. Goal: Reliable, Safe and Convenient River Crossings

- a. Conduct biennial and annual maintenance inspections by independent consulting engineers.

The Authority had annual or biennial inspections performed at all of its vehicular bridges. No red flags were identified. The Authority also had an inspection performed on the Walkway Over the Hudson in 2011. As a pedestrian bridge, the Walkway Over the Hudson is fully inspected every five years and receives interim specialized inspections when warranted.

- b. Develop and update annually a five year capital plan for the bridges necessary to maintain a good (level 5) condition for the vehicular bridges.

The Authority's Board adopted the 2016-2020 capital program at its September 17th, 2015 meeting. This program reflects the findings of the maintenance and biennial inspections and has resulted in the Authority retaining the good (level 5) condition for vehicular bridges.

- c. Develop and implement the annual capital program and maintenance plan taking into account the inspection results.

The Authority develops and implements its capital program and maintenance plan based on the findings of the biennial inspections. These programs have resulted in the Authority retaining a good (level 5) condition for vehicular bridges.

- d. Develop emergency response and security plans.

The Authority has documented emergency response and security procedures required to safeguard critical infrastructure embedded within Emergency Operating Plan and its Operating Procedures Manual. They are available at all bridge facilities and the Authority's network shared drive.

2. Goal: Fiscal Prudence

- a. Obtain an Independent Auditors' audit of the Authority's financial statements.

The Authority's Independent Auditor reviewed the 2015 Financial Statements and provided an unqualified opinion.

- b. Prepare and annually update a five year financial plan based on capital funding needs and a fiscally prudent operating budget.

The Authority's Board reviewed the 2015 through 2019 Business and Financial Plans at its September 17th, 2015 meeting. The plan was approved during the November 19st, 2015 meetings as statutorily required.

- c. Annually review and approve a budget for operating and capital expenditures.

The Authority reviewed and approved its Operating Plan as required during its September 17, 2015 meeting.

- d. Provide periodic budget to actual report to enable moderation of controllable expenses throughout the year.

The Authority generated Quarterly Operations Reports for March 2015, and September 2015 during calendar year 2015. It generates the annual reports for each year by the following March 31. The review of expenditures for June 2015 is performed through the development of the Business and Financial Plan reporting and is provided, as required, as part of the reporting package.

3. Goal: Limit Toll Rates

- a. Annually review toll revenue projections taking into account annual receipts.

This objective is achieved through the development of the Business and Financial plan approved by the Board at its November 19th, 2015 meeting.

- b. Include in the five year financial plan a schedule of toll revenue increases based on capital needs and taking into consideration the impact on the traveling public.

All toll revenue increases based on capital needs would be taken into consideration during the development of the Business and Financial Plan.

- c. Plan and approve new toll schedules as required by the financial plan.

No toll increases are being planned or expected to be needed prior to 2019. The Authority projects that it will require a revenue enhancement to support the redecking of the North Span Newburgh-Beacon Bridge some time in the 2020's. Administratively the Authority prepares a long range forecast internally that will be utilized to determine the need for the next toll increase.

- d. Periodically evaluate service levels and associated costs.

The service levels at the Authority are evaluated each year during the development of the Business and Financial Plan. Staffing levels were determined to be adequate based on volumes, which overall increased compared to prior year.

- e. Periodically evaluate shared service opportunities.

The Authority evaluates all functional processes during development of the Business and Financial Plan. Where shared services add value, for example the processing of E-ZPass transactions via the NYS Thruway Authority, they are utilized. In other instances where more cost effective, modernization and outsourcing are utilized.

- f. Promote the use of E-ZPass services in the interest of fiscal prudence and the convenience of the travelling public.

In 2013 the Authority fully implemented an initiative to sell E-ZPass tags in full service toll lanes. This approach provided greater convenience to patrons as previously all direct tag sales were solely from the headquarters building. The Authority has continued selling tags in manned toll lanes along with banner advertising that has resulted in increased E-ZPass penetration. The effort builds on the toll schedule that contains an E-ZPass discounted fare implemented in 2012. The Authority will evaluate the E-ZPass discounts in the toll schedule for the next schedule.

- g. Evaluate and implement methods to obtain additional revenue sources such as conduit leases.

The Authority continued to sell advertising space on Authority facilities during 2015. It continuously pursues other opportunities for greater utilization of the bridge conduits and fiber optic network closing six additional deals in 2015. While this revenue is not sufficient to offset toll increases when major projects require funding, the Authority makes every effort to maximize other revenue opportunities.

4. Goal: Maintain Access to Capital Markets

- a. Provide for timely and comprehensive financial reporting.

The Authority adhered to statutory required reporting time lines for 2015.

- b. Maintain compliance with coverage factors required by bond resolution.

The Authority had a debt service coverage of 2.95 for 2015, exceeding the resolution required 1.75.

5. Goal: Maintain Transparency

- a. Annually evaluate communications with the public regarding the Authority's programs and services and prompt responses to public inquiries.

The Authority maintains a well-developed web site providing details on the Authority's programs and services. Additional resources are located at each bridge facility to accommodate public requests. The Authority responds promptly to inquiries, maintaining a 100% FOIL compliance rate, 100% Subpoena compliance rate and typically responds to general inquiries immediately. The Authority has well developed communication forums on Facebook and Twitter and posts daily Twitter briefs.

- b. Provide website access to the annual audit report.

The annual report is posted on the publically accessible website.

- c. Provide website access to required financial and operating documents as well as other documents of interest to the travelling public.

All financial documents, permit documents, employment documents and other necessary operational information is posted on the website. The Authority also hosts a large and detailed library of information, links and data regarding the region, the Hudson River and the Authority's bridges. The Authority also provides brief information and direct links to all other relevant transportation entities throughout New York State as well as the E-ZPass system.

- d. Coordinate with overlapping municipal, state and federal agencies regarding local construction projects, emergency planning, and other initiatives relevant to authority operations.

The Authority regularly coordinates activities and consults as necessary with FEMA, SEMO, NYSDOT, NYSP, Homeland Security (state and federal), US Coast Guard, contiguous county Emergency Management offices, contiguous county Sheriff's offices, local emergency responders and local law enforcement.

**NEW YORK STATE BRIDGE AUTHORITY
ANNUAL REPORT PER SECTION 2800 OF PUBLIC AUTHORITIES LAW
CERTIFICATION
YEAR ENDED 12/31/15**

As regards the financial report submitted under Section 2800 of the Public Authorities Law, this certifies, based upon our knowledge (a) the information provided therein is accurate, correct and does not contain any untrue statement of material fact; (b) does not omit any material fact which, if omitted, would cause the financial statements to be misleading in light of the circumstances under which such statements are made; and (c) fairly presents in all material respects the financial condition and results of operations of the authority as of, and for, the periods presented in the financial statements.

JOSEPH RUGGIERO
Chief Executive Officer

BRIAN F. BUSHEK
Chief Financial Officer

Authorities Budget Office Policy Guidance



Authority Mission Statement and Performance Measurements

Name of Public Authority: New York State Bridge Authority

Public Authority's Mission Statement

The mission of the New York State Bridge Authority is to maintain and operate the vehicle crossings of the Hudson River entrusted to its jurisdiction for the economic and social benefit of the people of the State. The Authority will maintain the vehicular bridges and related infrastructure in good condition for the benefit of the travelling public, provide for long-term financial stability of the Authority, and do so at the lowest cost consistent with long term safety and stability. The Authority will provide for accountability and transparency in its operations.

Date Adopted: September 29, 2011

List of Performance Goals

1. **Goal: Reliable, Safe and Convenient River Crossings.** The Authority is responsible for providing reliable, safe and convenient access across the Hudson River to all lawful traffic within the framework of a sound long-term financial policy. To that end, the Authority will maintain a vigorous, integrated program of inspection, maintenance, repair and rehabilitation to ensure the structural integrity of its facilities and the safety of its patrons.

Performance Measures:

- Conduct biennial and annual maintenance inspections by independent consulting engineers
- Develop and update annually a five year capital plan for the bridges necessary to maintain a good (level 5) condition for the vehicular bridges
- Develop and implement the annual capital program and maintenance plan taking into account the inspection results
- Develop emergency response and security plans

2. **Goal: Fiscal Prudence.** The Authority will control expenditures to the extent consistent with prudent stewardship and responsible administration.

Performance Measures:

- Obtain an Independent Auditors' audit of the Authority's financial statements
- Prepare and annually update a five year financial plan based on capital funding needs and a fiscally prudent operating budget
- Annually review and approve a budget for operating and capital expenditures
- Annually evaluate compliance with the Authority's procurement policy
- Provide periodic budget to actual report to enable moderation of controllable expenses throughout the year.

3. **Goal: Limit Toll Rates.** Consistent with the financial plan, implement the lowest possible toll rates necessary to enable the Authority to meet its obligations including providing for adequate and necessary reserves.

Performance Measures:

- Annually review toll revenue projections taking into account annual receipts
- Include in the five year financial plan a schedule of toll revenue increases based on capital needs and taking into consideration the impact on the travelling public
- Plan and approve new toll schedules as required by the financial plan
- Periodically evaluate service levels and associated costs
- Periodically evaluate shared service opportunities
- Promote the use of EZPass services in the interests of fiscal prudence and the convenience of the travelling public
- Evaluate and implement methods to obtain additional revenue sources such as conduit leases.

4. **Goal: Maintain Access to Capital Markets.** Maintain access to capital markets in order to provide for the lowest possible tolls necessary to support Bridge maintenance.

Performance Measures:

- Provide for timely and comprehensive financial reporting
- Maintain compliance with coverage factors required by bond resolution

5. **Goal: Maintain Transparency.** The Authority will operate in a fiscally transparent and open manner.

Performance Measures:

- Annually evaluate communications with the public regarding the Authority's programs and services and prompt responses to public inquiries
- Provide website access to the annual audit report
- Provide website access to required financial and operating documents as well as other documents of interest to the travelling public
- Coordinate with overlapping municipal, state and federal agencies regarding local construction projects, emergency planning, and other initiatives relevant to authority operations.

PROJECT SUNLIGHT POLICY

1.1 Introduction

Project Sunlight, an important component of the Public Integrity Reform Act of 2011, is an online database that provides the public with an opportunity to see the individuals and entities that are interacting with government decision-makers. For the text of the law, see Chapter 399 Part A, § 4 of the Laws of 2011. The following sets forth guidance to help agencies comply with the requirements of Project Sunlight.

1.2 Applicability

Project Sunlight applies to every state agency, department, division, office, and board; every public benefit corporation, public authority and commission at least one of whose members is appointed by the governor; the state university of New York and the city university of New York, including all their constituent units except community colleges of the state university of New York; and the independent institutions operating statutory or contract colleges on behalf of the state.

In order for an interaction to be covered by Project Sunlight it must:

1. Be an appearance,
2. Between covered individuals, and
3. Concern one of the five subject areas covered by Project Sunlight (procurement, rate making, regulatory matters, judicial or quasi-judicial proceedings, adoption or repeal of a rule or regulation).

1.3 Definitions

(a) **Appearance shall mean:** An interaction that is an in-person meeting, a telephonic conversation, or a video conference between covered individuals, related to one of the five covered categories. The location and formality of the interaction is irrelevant as to whether it constitutes an appearance, and it is irrelevant who initiates the interaction. There can be numerous appearances related to a single matter.

(b) **Appearance shall not mean:**

- (1) Ministerial or informational appearances, such as communications to schedule meetings or requests for information.
- (2) Written communications such as letters, faxes, or emails.
- (3) Appearances regarding legislation or the budget.
- (4) Any appearance related to individuals or matters that are treated by a state entity as confidential pursuant to federal or state statute, rule or regulation.
- (5) Any appearance that if disclosed could endanger the life or safety of any person.
- (6) Participation in meetings which are open to the public, such as conferences or meetings subject to the Open Meetings Law or where a record of the meeting is otherwise publicly available.

- (c) **A covered individual at a state entity shall mean:** An individual at the state entity who has the power to exercise agency discretion in one of the five covered categories, or advises someone who has such discretion.
- (d) **A covered individual at a state entity shall not mean:** Outside agents of a state agency or authority, such as retained outside counsel.
- (e) **A covered individual outside of a state entity shall mean:** Appearances by both "external" (e.g., a lobbyist) and "internal" (e.g., a general counsel) representatives of an entity, appearances by an individual appearing on behalf of him/herself, and appearances by advocacy groups or organizations or entities representing the interests or concerns of the organization or entity or of its members.
- (f) **A covered individual outside of a state entity shall not mean:**
 - (1) Employees of State and local agencies and authorities, as well as tribal governments and federal government representatives.
 - (2) State elected officials, executive or legislative employees or judges or employees of the judiciary.
 - (3) Individual inmates and parolees and their representatives before state entities regarding their supervision and/or conditions of confinement.
 - (4) Representatives of the media.
 - (5) Persons under the age of 18.
- (g) **Five covered categories:** procurement of goods and services, rate making, regulatory matters, judicial or quasi-judicial proceedings, adoption or repeal of a rule or regulation
- (h) **Reporting:** reporting is the act of transmitting information covered by Project Sunlight into the Project Sunlight database
- (i) **Restricted Period:** State Finance Law Sections 139-j and 139-k impose restrictions on communications between a state entity and an offerer/bidder during the procurement process. An offerer/bidder is restricted from making certain contact (defined in the law as communications intended to influence the procurement) from the date of the earliest notice of intent to solicit offers/bids through the date of the final award and, if applicable, approval of the contract by the Office of the State Comptroller to those other than designated staff. This interval of time is known as the Restricted Period.

1.4 Appearances Related to the Procurement of Goods and Services

- (a) Project Sunlight's reporting requirement for appearances related to the procurement of goods and services applies to those appearances between covered individuals that are for the purpose of procuring a State contract, irrespective of whether there is a governmental procurement planned. Thus, reporting is required for appearances relating to State contracts for which a Restricted Period under the Procurement Lobbying Law has not been established and without regard to whether a governmental procurement is anticipated.
- (b) Appearances during the Restricted Period—whether they are bid clarification meetings or bid interviews or any other permissible contact under the State Finance Law—do not need to be reported.
- (c) Appearances for the purpose of advocating for the receipt of discretionary state funds that have already been appropriated must be reported. However, a meeting to finalize a contract for any discretionary award need not be reported.
- (d) Unsolicited appearances by vendors to attempt to influence a covered individual to purchase the vendor's products, even if not associated with a specific procurement, must be reported.

- (e) Appearances that are purely informational and occur at the request of the state entity—e.g., a state agency is conducting market research, seeking information of its own accord to inform a policy decision, or reaching out to an M/WBE firm to determine interest in and availability to provide goods or services—need not be reported.
- (f) Appearances related to procurements under \$25,000 do not need to be reported.
- (g) Appearances related to emergency procurements do not need to be reported.
- (h) Appearances related to public auctions do not need to be reported.

1.5 Appearances Related to Rate Making

- (a) State entities that conduct ratemaking should record all applicable appearances that lead up to the setting of the rate. For example, if a utility company is requesting an increase in a rate from a rate-making agency, all appearances made by covered individuals of that utility company before covered individuals at the agency should be reported, unless otherwise exempted.
- (b) Appearances to influence rates outside of any formal ratemaking proceeding must also be reported; that is, any appearance that is an effort to influence a rate, formal or informal, should be reported.
- (c) Once a rate has been set, the agency or authority need not record appearances related to such rate, unless they are appearances to attempt to influence the application of a particular rate to a particular client or entity or are part of advocacy for future rate changes.
- (d) Factual inquiries about rates, following reports by state agencies or authorities or otherwise, do not need to be reported. These inquiries are informational. If an inquiry about a particular rate is both informational and advocacy, however, the appearance must be reported.

1.6 Appearances Related to Regulatory Matters

- (a) A regulatory matter is one related to agency enforcement of regulations and existing law. An appearance regarding a regulatory matter need only be reported if it is before a covered individual at a state agency or authority.
- (b) Regulatory inspections that are for information-gathering purposes do not need to be reported. However, ancillary or subsequent communications related to the inspection, such as contesting a finding, must be reported.
- (c) Complaints regarding regulated entities, and subsequent investigations into those complaints, do not need to be reported.
- (d) Each agency shall maintain a list of the types of regulatory matters or proceedings that are not covered by Project Sunlight, and shall make such list publicly available.

1.7 Appearances Related to Judicial or Quasi-Judicial Proceedings

- (a) Judicial or quasi-judicial proceedings refers to proceedings that take place before a neutral arbiter. In order to be covered by Project Sunlight, the proceeding must include a state entity as a party to the matter. For example, a challenge to a fine assessed by a state entity that takes place before an administrative law judge is a judicial or quasi-judicial proceeding. Similarly, an enforcement action undertaken by a state entity that requires the involvement of an administrative law judge is a judicial or quasi-judicial proceeding.

- (b) Appearances related to a judicial or quasi-judicial proceeding in which a party bringing an action against another party, where the state entity only serves as a neutral arbiter or as a forum for the resolution of disputes between private parties, does not need to be reported.
- (c) Employee disciplinary matters, the contractual grievance process, and challenges to employee performance reviews are not to be reported.
- (d) Litigation pending in the court system and proceedings related to that litigation are not encompassed by Project Sunlight as they are not appearances before a state entity. Settlement negotiations related to litigation in the courts is therefore also not covered by Project Sunlight.
- (e) Settlement negotiations related to otherwise covered judicial or quasi-judicial proceedings must be reported.
- (f) Each agency shall maintain a list of the types of regulatory matters or proceedings that are not covered by Project Sunlight, and shall make such list publicly available.

1.8 Appearances Related to the Adoption or Repeal of a Rule or Regulations

- (a) An appearance must be reported only if a covered individual is advocating for the repeal or adoption or amendment of a rule or regulation subject to the State Administrative Procedures Act ("SAPA"), and the appearance is before a covered individual at the state entity.
- (b) This reporting category is limited to agency rules and regulations. Appearances regarding the repeal or adoption or amendment of a statute, including an appropriation bill, are exempted.
- (c) This category does not contemplate appearances related to the application or interpretation of rules and regulations that are in effect. Such appearances are covered by the category of "regulatory matters."

2.1 Reporting Logistics

- (a) Appearances should be timely entered into the database within five business days after they occur.
- (b) Each appearance need only be entered into the Project Sunlight database once. If multiple covered individuals from state entities attend a meeting, only one entry need be made. The database allows for the reporting of the names of multiple covered individuals.
- (c) State entities are responsible for internally assigning those staff members who will be responsible for reporting the appearances.
- (d) All inquiries regarding the Project Sunlight database should be directed to _____

2.2 Compliance

- (a) **Liaisons:** Each state entity shall appoint a Project Sunlight liaison who will be responsible for regularly communicating with Project Sunlight, and be further responsible for communicating Project Sunlight directives to state entity personnel and reporting regarding compliance.
- (b) **Training:**
 1. Covered state entities are expected to train all appropriate staff members on the substance and technical aspects of Project Sunlight. Both technical and substantive trainings are available on the Statewide Learning Management System, and will be circulated to each agency liaison.

2. Upon request by Project Sunlight, each covered state entity will be required to report which personnel has received Project Sunlight training.

(c) Compliance Plans

1. Each covered state entity is required to adopt an internal compliance plan for reporting, and to make such plan available for review upon request by Project Sunlight.
2. Each state entity shall adopt a progressive discipline policy for those state employees who do not comply with Project Sunlight reporting duties.

NEW YORK STATE BRIDGE AUTHORITY
LOBBYING CONTACT POLICY

1) Policy:

As required by Public Authority Law 2987, it is the Authority's policy to maintain records of all lobbyist contacts related to the adoption or rejection of Authority rules and regulations, including rate making proceedings.

2) Policy Definition:

Definitions under this policy are as follows:

- (a) "lobbyist" means every person or organization retained, employed or designated by any client to engage in lobbying: The term "lobbyist" does not include any officer, director, trustee, employee, counselor agent of the state, or any municipality or subdivision thereof of New York when discharging their official duties.
- (b) "lobbying" means any attempt to influence the adoption or rejection of any Authority rule or regulation or the outcome of any rate making proceeding.
- (c) "contact" means any conversation, in person or by telephonic or other remote means, or correspondence between any lobbyist engaged in the act of lobbying and any person within the Authority who can make or influence a decision on the subject of the lobbying on behalf of the Authority, and includes members of the Board, as well as all officers and employees of the Authority.

3) Procedures:

- (a) Every Authority member, officer or employee who is contacted by a lobbyist engaged in lobbying should immediately fill out a "Bridge Authority Lobbying Contact Form" indicating the time and date, identity of the lobbyist and a general summary of the substance of the contact.
- (b) Completed lobbying contact forms and any other such records should, as soon as possible after completion, be submitted to the office of the Executive Director.
- (c) The Executive Director is appointed to maintain a filing system, by rule or regulation, of all lobbyist contact forms and any other such records related to such of lobbying activity for a period of not less than seven years.



ANDREW M. CUOMO
Governor

JOSEPH RUGGIERO
Executive Director

RICHARD A. GERENTINE
Chairman

MEMORANDUM

Date: March 17, 2016

Subject: 2015 Lobbying Contact Statement

During the year 2015, the Authority did not have any lobbying contact related to the adoption or rejection of Authority rules and regulations, including rate making procedures.

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, Public Authorities Law § 2800 requires that an annual report be prepared and submitted to the Board for approval each year and annual PARIS reporting requires the Mission Statement and Lobbying Contact Policy reported; now therefore and,

BE IT RESOLVED, the New York State Bridge Authority does hereby approve the Annual Report pursuant to Public Authorities Law § 2800 for the fiscal year 2015, the Mission Statement and Lobbying Contact Policy; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary

INDEPENDENT ACCOUNTANTS' REPORT ON INVESTMENT PROGRAM COMPLIANCE

The Governing Board
New York State Bridge Authority:

We have audited the New York State Bridge Authority's (the Authority) compliance with the types of compliance requirements described in the Section 201.3 of Title Two of the Official Compilation of Codes, Rules, and Regulations of the State of New York related to its investment program during the year ended December 31, 2015.

Management's Responsibility

Management is responsible for compliance with the requirements of Section 201.3 of Title Two of the Official Compilation of Codes, Rules, and Regulations of the State of New York related to its investment program.

Auditor's Responsibility

Our responsibility is to express an opinion on the compliance for the Authority's investment program based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on the investment program occurred. An audit includes examining, on a test basis, evidence about the Authority's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for the investment program. However, our audit does not provide a legal determination of the Authority's compliance.

Opinion on Investment Program

In our opinion, the Authority complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on its investment program for the year ended December 31, 2015.

Report on Internal Control Over Compliance

Management of the Authority is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Authority's internal control over compliance with the types of requirement that could have a direct and material effect on the investment program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for the investment program and to test and report on internal control over compliance for the investment program in accordance with Section 201.3 of Title Two of the Official Compilation of Codes, Rules, and Regulations of the State of New York, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of Section 201.3 of Title Two of the Official Compilation of Codes, Rules, and Regulations of the State of New York. Accordingly, this report is not suitable for any other purpose.

Toski & Co., CPAs, P.C.

Williamsville, New York
March 7, 2016

NEW YORK STATE BRIDGE AUTHORITY

INVESTMENT POLICY GUIDELINES

Article I Statement of Purpose

Sec. 101. These Investment Policy Guidelines are adopted pursuant to Section 2925 of the Public Authorities Law, as added by Chapter 838 of the Laws of 1983.

102. These Guidelines replace the Short-term Investment Guidelines for Public Authorities, issued by the State Comptroller in March, 1983, which were adopted in principle, as modified by the Authority's "Investment Practices" memo, by the Board on June 22, 1983.

Article II Investment Policy

Sec. 201. Section 528 (11) of the Public Authorities Law permits the Authority to issue negotiable bonds.

202. Pursuant to said provisions of the Law, the Authority, on December 19, 1996 entered into a General Revenue Bond Resolution (hereafter, "the Bond Resolution") which constitutes a contract with the holders of its bonds. This contract (the Bond Resolution) provides for the custody and control of all Authority funds pledged under the Resolution. The Bond Resolution shall be, and hereby is, fully incorporated by reference herein, including its Section 1.01 "Definitions".

203. All the Bridge Authority Funds authorized in Section 5.02 of the Bond Resolution are available for the production of investment income. These Funds are all, except for the Operating Fund, administered and invested by the Bank of New York, as Trustee for the Bondholders (hereafter "the Trustee").

204. Section 5.03 to 5.14 of the Bond Resolution describe the purpose of each of these Funds, the amounts to be held in them, how moneys are to be transferred between them and the process for the valuation of moneys and Investment Securities.

205. Section 6.01 provides for the deposit of all moneys held by the Trustee with the Trustee or one or more depositories in trust for the Trustee. It also provides for the deposit of moneys held by the Authority in one or more depositories in the name of the Authority.

206. Section 6.02 provides for the investment of all moneys, held by any depository, in demand or interest bearing time deposits or other Investment Securities as defined in the Bond Resolution and further provides for the security of these deposits.
207. Section 6.03 describes how the moneys held in certain Funds are to be invested and how the interest earned on investments in all Funds is to be credited.
208. Within the strictures and limitations of the Bond Resolution, the basic investment objectives of the Authority are:
 - (i) The protection of principal
 - (ii) The safeguarding of all funds, wherever located
 - (iii) The maximization of income from all moneys of the Authority, in interest-bearing bank accounts as well as when invested in authorized debt instruments.

Article III Investments Permitted

Sec. 301. In all Funds administered by the Trustee, Upon instructions signed by an Authorized Officer of the Authority, the Trustee may invest, in so far as the investment is in compliance with the definition of "Investment Securities" in the Bond Resolution, as follows:

- a. In obligations of the United States of America.
 - b. In obligations of the State of New York.
 - c. In certificates of deposit of a New York State bank or trust company.
 - d. When not invested in such debt instruments, the moneys in all Funds shall, insofar as practicable, be kept in interest-bearing deposits, including so-called "money market accounts", (which may be loaned by the Trustee overnight to other major New York banks at the federal funds rate, but may not be loaned to brokers on "repurchase agreements").
302. In all Funds the maturity's of the debt securities purchased shall be selected with due regard to diversification and when the moneys will be needed, and as further specified in Sec. 6.03 of the Bond Resolution.
 303. All securities shall be redeemed promptly upon maturity by the Trustee, acting alone; sales of investments, however, shall be made by the Trustee on instructions signed by an Authorized Officer of the Authority.

Article IV Safeguards (Collateral Security and Audit)

Sec. 401 (a) All moneys held by the Trustee, and all moneys deposited in any other bank or trust company, shall be secured at all times by direct obligations of the United States of America or the State of New York of a market value at least equal to the amount of such deposits. Moneys held by the Trustee, only, may also be secured by obligations guaranteed by the United States of America.

In addition, the market value and accrued interest of the collateral combined with any Federal Deposit Insurance Corporation coverage shall equal the value of the deposits and any accrued interest at all times.

(b) Any certificates of deposit bought as investments in any Funds described in Article III above shall be secured in the same manner.

402. The Trustee, or any other bank or trust company holding Authority deposits, must request and receive the Authority's written consent prior to transferring collateral, or removing a specific security from pledge and replacing it with another.
403. All such collateral shall be clearly earmarked and identified as being held solely for the purpose of securing funds and deposits of the Authority, and no one else. For custodians of collateral, other than the Trustee, there shall be a written custodial agreement which, among other things, specifies the circumstances under which collateral may be substituted and provides that the custodian is holding the securities solely for the benefit of the Authority and makes no claim thereto.
404. All collateral shall be valued to market by the Authority at the time of the initial deposit. All such collateral must be valued to market by the Trustee or other holder of the security at least monthly or more often when requested by an Authorized Officer of the Authority. Such determination of market value shall be verified by the Authority, using an independent source, at the end of each month.
405. At least annually, the Authority's independent accountants shall examine the securities held as investment instruments and as collateral to secure Authority deposits and verify their existence, proper description and segregation, either physically or by examining the comparable record of the "Federal Book Entry" (FBE) from the Federal Reserve Bank, and also independently ascertain their current market value in order to verify sufficiency of fund balances or collateral coverage.

Article V Practice and Procedures

Sec. 501. The Executive Director shall be responsible for the implementation and execution of these Investment Policy Guidelines. He shall review, authorize and approve all investment transactions and decisions of the Authority, in accordance with these guidelines and any directions of the Chairman and policies of the Board. The Authorization and approval of all such investment transactions shall be evidenced in writing on a "Transaction Authorization" form signed by the Executive Director or, in his absence, by the Deputy Executive Director.

502. The Authority Board shall review these Investment Policy Guidelines periodically (at least annually) and revise them as necessary to reflect changes in available investment opportunities and market conditions or as a result of any recommendations from the periodic evaluation of the performance of the investment program or any audits of the investment program.

Article VI Selection of Investment Advisers

Sec. 601. At the time of the adoption of these guidelines, the Authority has no designated investment adviser or investment banker, other than the duties assigned to the Trustee in the Bond Resolution.

602. Should the services of an investment adviser or investment banker be required in the future, the selection and evaluation of such firm shall be based on its experience, size, reputation, and other relevant factors. It shall be selected on a competitive basis in accordance with the procedures set forth in the guidelines for selection of Personal Service Contractors heretofore adopted by this Authority.

Article VII Reports

Sec.701. At each meeting of the Board, at least quarterly, the Executive Director shall render to the Board a report of all investment transactions, and all changes in

collateral, made since the last previous meeting thereof. Such report shall also include any changes in the inventory of existing investments and in the selection of investment bankers, brokers, agents, dealers, or auditors. Such Meeting Report will fulfill the requirements of Public Authorities Law Sec. 2925 (5).

702. (a) The Authority's annual financial statements shall be prepared in accordance with generally accepted accounting principles for Government (GAAP) (with the exception of providing for allowances for depreciation of the bridge facilities as required by the General Bond Resolution) and shall include all internal accounting and disclosure requirements as required by GAAP and the Governmental Accounting Standards Board.

(b) Annual Report. An Annual Investment Report in compliance with Public Authorities Law Sec. 2925 (6) shall be prepared by the Executive Director and submitted to the Board at a Meeting in March or April of each year. It shall consist of the Authority's Annual Report, required by Public Authorities Law Sec. 2802, containing the annual audit by the Authority's independent accountants augmented by:

- a. The Investment Policy Guidelines;
- b. Any amendments thereto;
- c. Any needed explanation of the Guidelines or their amendments;
- d. Investment income record (excerpted from the annual audit);
- e. A list of the total fees, commissions, or other charges, paid to anyone rendering "investment associated services" to the Authority.

703. After having been approved by the Board at such Meeting, the Annual Investment Report shall be submitted to the Division of the Budget, with copies to the Department of Audit and Control, the Senate Finance Committee, and the Assembly Ways and Means Committee.

704. Copies of the Annual Investment Report shall be made available to the public during regular office hours at the Headquarters of the Authority, upon reasonable request thereof.

Article VIII Amendment

Sec. 801. These Investment Policy Guidelines may be amended by the Board by a supplemental Resolution adopted at any duly called Meeting, provided that the wording of such amendment is distributed to all Members of the Board, with the Agenda, in advance of such Meeting.

NEW YORK STATE BRIDGE AUTHORITY
INVESTMENT REPORT
FOR THE YEAR ENDED DECEMBER 31, 2015

INVESTMENT INCOME

FUNDS HELD BY

THE BANK OF NEW YORK, TRUSTEE – 2011 Series Bonds and 2012 Series Bonds, note that the 2002 Series were refunded to maturity with the issuance in December 2011 of the 2011 Series Bonds.

Revenue Fund.....	\$	5,675
Debt Service Fund.....		4,036
Debt Service Reserve Fund.....		96,274
Insurance Fund.....		260,365
Construction Fund.....		49
Maintenance Reserve Fund.....		77,365
		<hr/>
		\$ 443,764

BRIDGE AUTHORITY

Operating Fund.....	\$	2,586
		<hr/>
		\$ 446,350

The investment associated services rendered to the Authority in 2015 provided by the trustee, The Bank of New York Mellon, and The Bank of America. There are no costs associated with these services.

NEW YORK STATE BRIDGE AUTHORITY
BOARD RESOLUTION

Resolution No.:

Resolution Date: March 17, 2016

WHEREAS, Investment Guidelines adopted by this Authority pursuant to the Public Authorities Law § 2925 require that an annual report be prepared and submitted to the Board for approval each year; now therefore and,

BE IT RESOLVED, the New York State Bridge Authority does hereby approve the Annual Investment Report for the fiscal year 2015; and

BE IT FURTHER RESOLVED, that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 17th day of March, 2016.

Joseph Ruggiero, Secretary